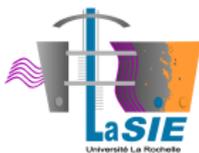


# Optimal control using reduced-order models

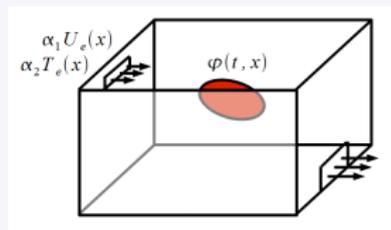
Cyrille Allery, Claudine Béghein, Antoine Dumon, Mourad Oulghelou, Alexandra Tallet

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(LaSIE, UMR CNRS 7356), La Rochelle Université



## Objective

- control indoor air quality :
  - ▶ keep a velocity or temperature profile in a room
  - ▶ evacuate a pollutant



by acting on the intensity and temperature of the injected air or on external sources

- optimize comfort or heating consumption in a design phase

## Strategy : formulation as an optimal control problem

- minimize the functional  $J(\varphi, \gamma) = \frac{1}{2} \int_0^T \int_{\Omega} (\varphi - \hat{\varphi})^2 d\Omega dt + \frac{\kappa}{2} \gamma^2$

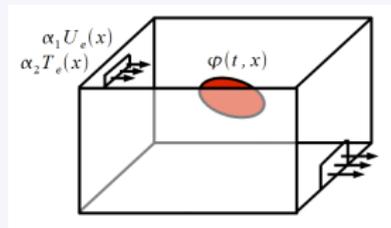
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$\hat{\varphi}$  is the target and  $\gamma$  the control parameter

under the constraints of the Navier-Stokes equ.

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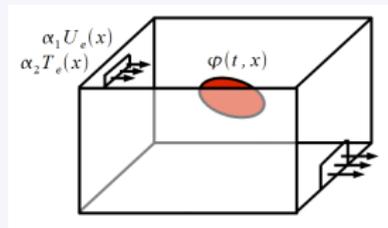
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## Problem statement

- incompressible and anisothermal flow subject to gravity  $\mathbf{g} = -g\mathbf{e}_y$
- based on Boussinesq hypothesis, the pb can be written :

$$\left\{ \begin{array}{l} \nabla \cdot \mathbf{u} = 0 \\ \frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} = -\nabla p + \frac{1}{Re} \nabla^2 \mathbf{u} + Ri \theta \mathbf{e}_y \\ \frac{\partial \theta}{\partial t} + (\mathbf{u} \cdot \nabla) \theta = \frac{1}{RePr} \nabla^2 \theta \end{array} \right.$$

- we assume that there exists a part of the boundary, denoted  $\Gamma_u$  (resp.  $\Gamma_\theta$ ), where the velocity (resp. the temp.) can be modified :

$$\mathbf{u}|_{\Gamma_u} = \gamma_1 \mathbf{u}_\Gamma(\mathbf{x}) \quad \theta|_{\Gamma_\theta} = \gamma_2 \theta_\Gamma(\mathbf{x})$$

- starting from an initial flow, we want to achieve a temperature  $\hat{\theta}$  in  $\Omega_c \subset \Omega$  associated to control parameters  $\hat{\gamma}$
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- this pb is converted into an unconstrained optimization pb by the method of Lagrange multipliers
- we look for a local minimum to the Lagrange functional :

$$\mathcal{L}(\mathbf{u}, p, \theta, \pi, \xi, \beta, \gamma) = \mathcal{J}(\hat{\theta}, \gamma) - \int_0^T \langle \zeta, \mathbf{N}(\mathbf{u}, p, \theta, \gamma) \rangle dt \quad \text{with } \zeta = (\pi, \xi, \beta)^T$$

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## Resolution by an iterative descent method

a) initialization of the algorithm :  $k = 0$  and  $\gamma^{(k)} = \gamma_{init}$

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d) assessment of the descent direction  $\mathbf{d}^{(k)} = -\nabla_{\gamma} J(\xi, \pi, \beta, \mathbf{u}, p, \theta, \gamma^{(k)})$

e) assessment of the step  $\omega^{(k)}$  in the descent direction  $\mathbf{d}^{(k)}$

(linear search algorithm of Armijo)

f) update the control parameter  $\gamma^{(k+1)} = \gamma^{(k)} + \omega^{(k)} \mathbf{d}^{(k)}$

g) If  $\mathcal{J}(\mathbf{u}, \gamma^{(k+1)}) \geq \eta$  return to step b.

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## A solution ?

- using reduced order methods

## Reduced order models

- find a reduced basis  $\Phi$  such that the solution  $w$  of the problem we solve, can be approximated as :

$$w(\mathbf{x}, t) \simeq w_N(\mathbf{x}, t) = \sum_{k=1}^N a^k(t) \phi^k(\mathbf{x})$$

- ▶  $N \ll$  nb of degrees of freedom computed with FV, FE, FD...
- the time coefficients  $a^k(t)$  are the solutions of a system of  $N$  differential equations
  - ▶ obtained by projecting the equ. onto each  $\phi^k(\mathbf{x})$
  - ▶ solving this system is almost instantaneous.
- Many reduction techniques have been developed :
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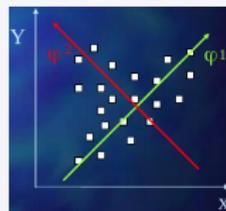
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## POD (Proper Orthogonal Decomposition)

- obtain snapshots  $\{w(x, t_i)\}_{i=1}^M$  representing the studied phenomenon, based on numerical simulations or experiments
- seek the determinist functions  $\{\phi^j(x)\}_{j=1}^m$  that are the best approx. in average of a set of a large number of random data  $\{w(x, t_i)\}_{i=1}^M$



- is equivalent to solving the maximization problem :

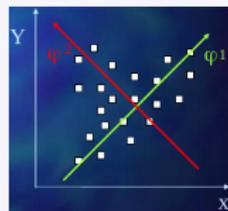
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- this leads to solving the eigenvalue problem :

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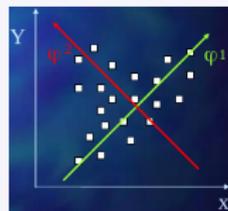
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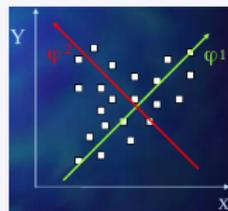
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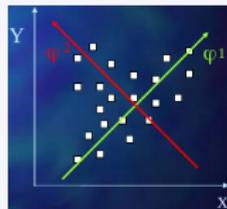
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- in practice, we solve the following eigenvalues pb (snapshot method) :



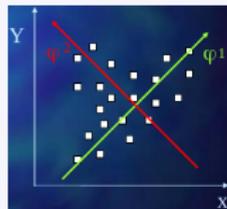
$$[C]\mathbf{a} = \lambda\mathbf{a} \text{ with } C_{ki} = \frac{1}{M} (w(x', t_k), w(x', t_i)) \text{ and } \mathbf{a} = \{a^1, \dots, a^M\}$$

and the spatial modes are given by

$$\phi^j(x) = \sum_{k=1}^M a_k^j w(x, t_k)$$

## POD (Proper Orthogonal Decomposition)

- obtain snapshots  $\{w(\mathbf{x}, t_i)\}_{i=1}^M$  representing the studied phenomenon, based on numerical simulations or experiments
- seek the deterministic functions  $\{\phi^j(\mathbf{x})\}_{j=1}^m$  that are the best approx. in average of a set of a large number of random data  $\{w(\mathbf{x}, t_i)\}_{i=1}^M$
- in practice, we solve the following eigenvalues pb (snapshot method) :



$$[C]\mathbf{a} = \lambda\mathbf{a} \text{ with } C_{ki} = \frac{1}{M} (w(\mathbf{x}', t_k), w(\mathbf{x}', t_i)) \text{ and } \mathbf{a} = \{a^1, \dots, a^M\}$$

and the spatial modes are given by

$$\phi^i(\mathbf{x}) = \sum_{k=1}^M a_k^i w(\mathbf{x}, t_k)$$

## Properties of the POD basis

- the basis POD  $\Phi$  is optimal in an energetic sense
  - ▶ any realization of the random field  $w$  can be approximated with :

$$w(\mathbf{x}, t) \simeq w_N(\mathbf{x}, t) = \sum_{k=1}^N a^k(t) \phi^k(\mathbf{x}) \quad \text{with } N \text{ small}$$

- the  $\phi^n(\mathbf{x})$  respect the boundary cond. and they are divergence free

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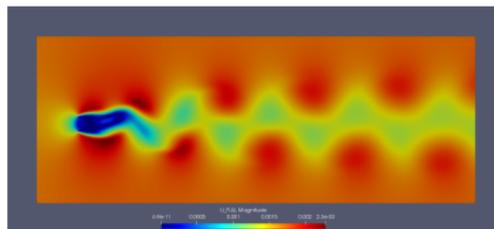
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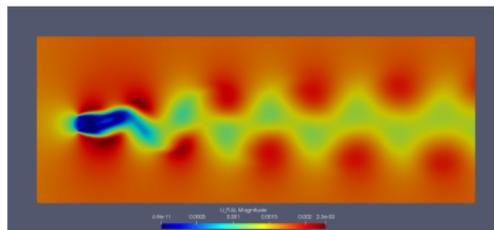
- Method illustration (flow in porous media,  $Re=100$ ,  $Da=0.0007355$ )

- ▶ Flow sampling



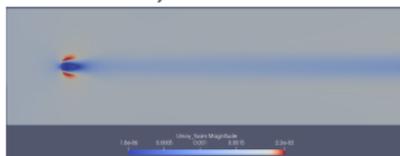
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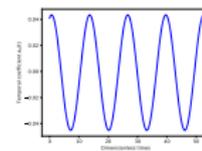
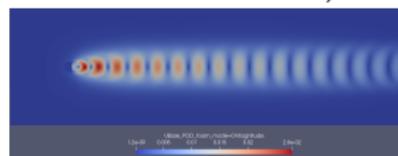


▶ POD basis and temporal coeff. (eigenvectors of temporal correlation tensor)

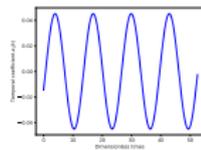
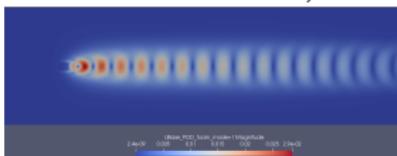
a) Mean field



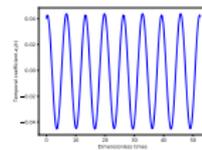
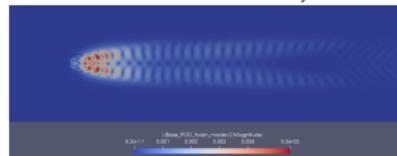
b) Mode 1



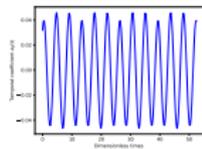
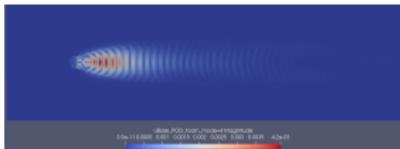
c) Mode 2



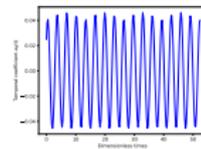
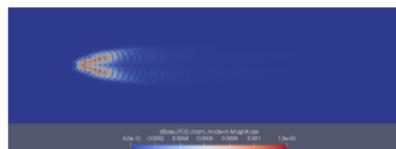
d) Mode 3



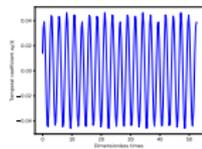
e) Mode 5



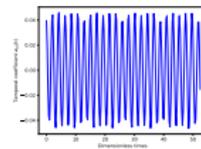
f) Mode 7



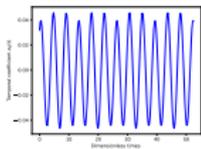
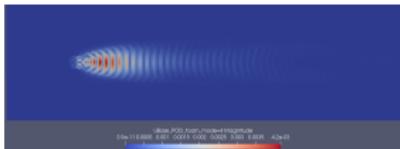
g) Mode 9



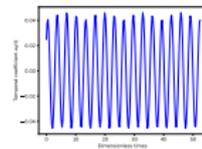
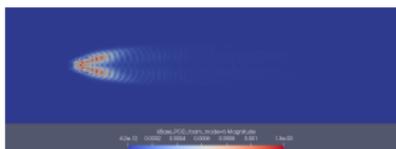
h) Mode 11



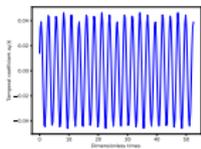
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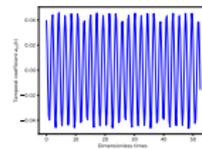
f) Mode 7



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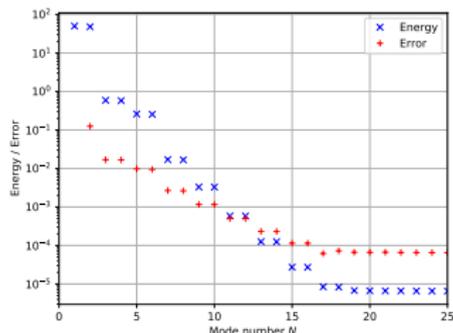
h) Mode 11



• Reconstruction error

$$err(N) = \sup_t \frac{\|u(t) - u_{POD}^N(t)\|_{L^2(\Omega)}}{\|u(t)\|_{L^2(\Omega)}}$$

$$u_{POD}^N(\mathbf{x}, t) = \sum_{k=1}^N a^k(t) \phi^k(\mathbf{x})$$



## ROM associated to the anisothermal Navier-Stokes equations

- velocity and temperature are decomposed as :

$$\mathbf{u}(\mathbf{x}, \alpha, t) = \bar{\mathbf{u}}(\mathbf{x}, \alpha) + \mathbf{u}'(\mathbf{x}, \alpha, t) \quad \text{and} \quad \theta(\mathbf{x}, \alpha, t) = \bar{\theta}(\mathbf{x}, \alpha) + \theta'(\mathbf{x}, \alpha, t)$$

- POD decomposition of the fluctuating parts :

$$\mathbf{u}'(\mathbf{x}, \alpha, t) \approx \sum_{i=1}^{N_u} a_i(\alpha, t) \Phi_i^u(\mathbf{x}) \quad \text{and} \quad \theta'(\mathbf{x}, \alpha, t) \approx \sum_{i=1}^{N_\theta} b_i(\alpha, t) \Phi_i^\theta(\mathbf{x}, t)$$

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## ROM associated to the anisothermal Navier-Stokes equations

- introduction of the POD decompositions in the Navier-Stokes equ.
  - conservation of momentum equation

$$\sum_{j=1}^{N_u} \Phi_j^u \frac{da_j}{dt} + \sum_{j=1}^{N_u} a_j (\nabla \bar{\mathbf{u}} \cdot \Phi_j^u + \nabla \Phi_j^u \cdot \bar{\mathbf{u}} - \frac{1}{Re} \nabla^2 \Phi_j^u) + \sum_{j=1}^{N_u} \sum_{k=1}^{N_u} a_j a_k \nabla \Phi_j^u \cdot \Phi_k^u + Ri \sum_{j=1}^{N_\theta} b_j \Phi_j^\theta \mathbf{e}_y = \mathbf{f}'(\bar{\mathbf{u}}, p, \bar{\theta}) + \mathbf{R}_u$$

- energy conservation equation

$$\sum_{j=1}^{N_\theta} \Phi_j^\theta \frac{db_j}{dt} + \sum_{j=1}^{N_\theta} b_j (\bar{\mathbf{u}} \cdot \nabla) \Phi_j^\theta - \sum_{j=1}^{N_\theta} b_j \frac{1}{RePr} \nabla^2 \Phi_j^\theta + \sum_{j=1}^{N_u} \sum_{k=1}^{N_u} a_j b_k (\Phi_j^u \cdot \nabla) \Phi_k^\theta + \sum_{j=1}^{N_u} a_j (\Phi_j^u \cdot \nabla) \bar{\theta} = \mathbf{g}'(\bar{\mathbf{u}}, \bar{\theta}) + R_\theta$$

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avec

$$\begin{aligned} C_{ijk} &= -(\Phi_n^u, \nabla \Phi_m^u \cdot \Phi_k^u) & D_{ij}(\alpha) &= (\Phi_n^u, -\nabla \bar{u} \cdot \Phi_m^u - \nabla \Phi_m^u \cdot \bar{u}) & A_{ij} &= (\Phi_n^u, \frac{1}{Re} \nabla^2 \Phi_m^u) \\ B_{ij} &= (\Phi_n^u, \Phi_i^\theta e_y) & E_{i1}(\alpha) &= (\Phi_n^u, -\nabla \bar{p} + \frac{1}{Re} \nabla^2 \bar{u} - \nabla \bar{u} \cdot \bar{u}) & E_{i2} &= -\int_{\Gamma} p' \Phi_n^u \cdot n d\Gamma \\ C_{ijk}^{\theta} &= -(\Phi_n^\theta, (\Phi_i^u \cdot \nabla) \Phi_k^\theta) & D_{ij}^{\theta}(\alpha) &= (\Phi_n^\theta, (\bar{u} \cdot \nabla) \Phi_m^\theta) & A_{ij}^{\theta} &= (\Phi_n^\theta, \frac{1}{RePr} \nabla^2 \Phi_m^\theta) \\ B_{ij}^{\theta} &= (\Phi_n^\theta, (\Phi_m^u \cdot \nabla) \bar{\theta}) & E_{i1}^{\theta}(\alpha) &= (\Phi_n^\theta, \frac{1}{RePr} \nabla^2 \bar{\theta} - (\bar{u} \cdot \nabla) \bar{\theta}) \end{aligned}$$

• coefficients dependent on  $\alpha$  ( $\bar{u}$ ,  $\bar{p}$ ,  $\bar{\theta}$ ) are determined using a Lagrange interpolation of  $\bar{\alpha}$

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- ▶ since the divergence of the POD modes is null, if the POD modes are null on the boundaries, the pressure disappears of the ROM
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## ROM associated to the anisothermal Navier-Stokes equations

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Tallet et al, A minimum residual projection to build coupled velocity-pressure POD-ROM for incomp. NS equations, *Comm. in Nonlinear Science and Num. Simulation*, vol 22, 2015.

## Formulation of the reduced optimal control problem

- POD is applied to the target temperature  $\hat{\theta}$

$$\hat{\theta}(\mathbf{x}, t, \hat{\gamma}) \approx \bar{\theta}(\mathbf{x}) + \sum_{j=1}^{N_{\hat{\theta}}} \hat{b}_j(t) \Phi_j^{\hat{\theta}}(\mathbf{x})$$

- the reduced optimal control is written :

*Search the control parameter  $\gamma$  and the state variables*

*$\mathbf{a} = (a_1, \dots, a_{N_u})$  and  $\mathbf{b} = (b_1, \dots, b_{N_{\hat{\theta}}})$  such that the functional*

$$\mathcal{J}_{red}(\mathbf{b}, \gamma) = \frac{1}{2} \int_0^T \left( \sum_{k=1}^{N_{\theta}} b_k^2 + \sum_{l=1}^{N_{\hat{\theta}}} \hat{b}_l^2 - \sum_{k=1}^{N_u} \sum_{l=1}^{N_{\hat{\theta}}} C_{kl} b_k \hat{b}_l \right) dt$$

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## Resolution by an iterative descent method

a) initialization of the algorithm :  $k = 0$  et  $\gamma^{(k)} = \gamma_{init}$

b) solving the state ROM

$$\mathcal{M}(\mathbf{a}, \mathbf{b}, \gamma^{(k)}) = \mathbf{0} \text{ and } \mathcal{N}(\mathbf{a}, \mathbf{b}, \gamma^{(k)}) = \mathbf{0} \rightarrow \mathbf{a}^{(k)} \text{ and } \mathbf{b}^{(k)}$$

c) solving the adjoint ROM

$$\mathcal{P}(\mathbf{a}, \mathbf{b}, \zeta, \xi, \alpha^{(k)}) = \mathbf{0}, \mathcal{Q}(\mathbf{a}, \mathbf{b}, \zeta, \xi, \alpha^{(k)}) = \mathbf{0} \rightarrow \zeta^{(k)} \text{ and } \xi^{(k)}$$

d) assessment of the descent dir.  $\rightarrow \mathbf{d}^{(k)} = -\nabla_{\gamma} J_{red}(\mathbf{a}, \mathbf{b}, \zeta, \xi, \gamma^{(k)})$

e) assessment of the step  $\omega^{(k)}$  in the descent direction  $\mathbf{d}^{(k)}$

(linear search algorithm of Armijo)

f) update the control parameter  $\rightarrow \gamma^{(k+1)} = \gamma^{(k)} + \omega^{(k)} \mathbf{d}^{(k)}$

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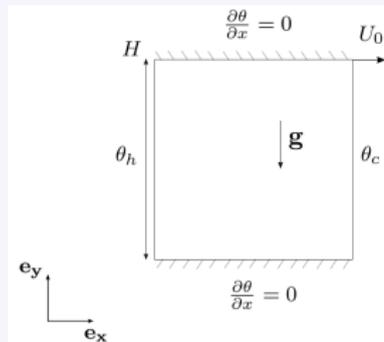
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## Application : 2D lid driven heated square cavity

- square cavity of side  $H$
- $10^6 \leq Gr \leq 5 \times 10^6$
- $158 \leq Re \leq 474$
- uniform grid with  $100^2$  cells
- transient regime
- EDF finite-volume code : Saturne



Tallet, Allery, Leblond Optimal flow control using a POD based Reduced-Order Model, *Numerical Heat Transfer, Part B*, vol 70, 2016.

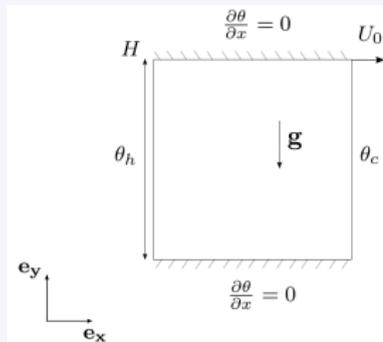
## Objective

- controlling the temperature inside the cavity by varying the control parameters  $\gamma_1$  and  $\gamma_2$  defined by

$$\mathbf{u}|_{\Gamma_{top}} = \gamma_1 U_0 \mathbf{e}_x \quad \text{and} \quad \theta|_{\Gamma_{left}} = \gamma_2 \theta_c$$

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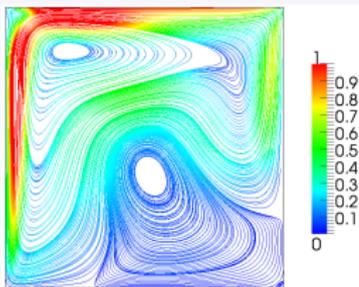
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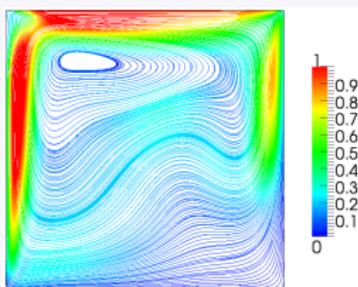
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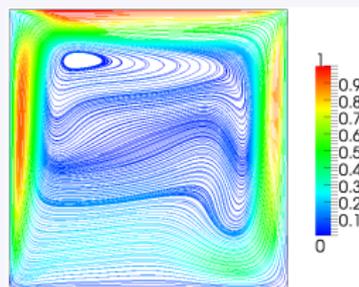
## Streamlines for $Re = 316$ and $Gr = 10^6$



a)  $t = 3$

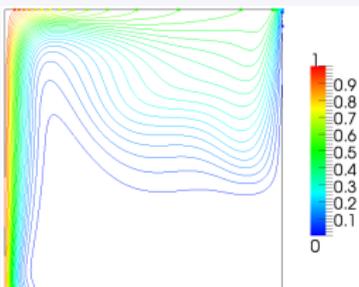


b)  $t = 5$

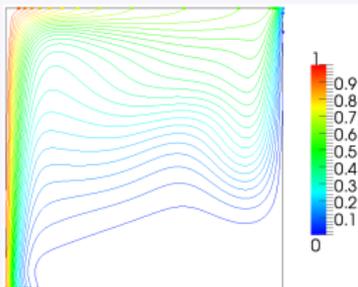


c)  $t = 15$

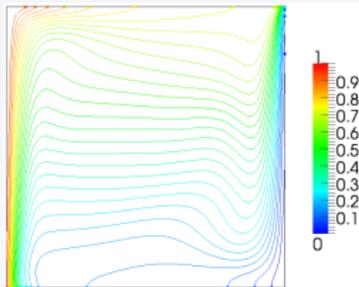
## Isovalues of temperature for $Re = 316$ and $Gr = 10^6$



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## Construction of the POD basis

- the snapshots are obtained from 6 simulations at various Reynolds numbers ( $158 \leq Re \leq 474$ ) and various Grashof numbers  $10^6 \leq Re \leq 5.10^6$  :

Reynolds number $Re$	Grashof $Gr$
158	$1.10^6$
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- for each couple  $Re-Gr$ , 150 snapshots evenly distributed on the transient regime are considered

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## Control

- we want to achieve a target temp.  $\hat{\theta}$  corresponding to a couple  $Re_{\text{targ}}-Gr_{\text{targ}}$  by starting from a temp.  $\theta_{\text{init}}$  corresponding to  $Re_{\text{init}}-Gr_{\text{init}}$
- four target pairs of  $Re-Gr$  that do not belong to the sampling are considered
  - 1)  $Re = 221$ ;  $Gr = 2.10^6$
  - 2)  $Re = 221$ ;  $Gr = 4.10^6$
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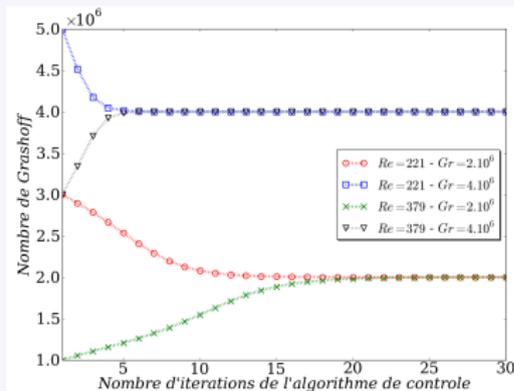
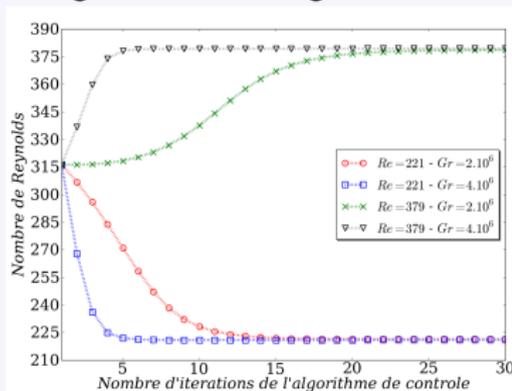
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- algorithm convergence



## Control

- error (averaged in time) between the results of the full model and those obtained with the reduced control algorithm

Reynolds number	Grashof number	Temperature error	Velocity error
221	$2 \cdot 10^6$	5,15 %	11,7 %
221	$4 \cdot 10^6$	4,74 %	13,8 %
379	$2 \cdot 10^6$	5,78 %	10,8 %
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- ▶ acceptable error : about 5% for the temperature and 11-13% for the velocity, whatever the considered target
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## Computing time necessary for the control procedure

- ▶ reduced model : about 2-3 minute with 1 proc.
- ▶ full model : estimated to be several days with 12 proc.

## Summary

- control algorithm is fast (about one minute) and accurate
- however, reduced order models are again too expensive in storage requirements

Nb of kept POD modes	ROMs coefficients	POD modes	Mean fields	In all
10	11,7 Ko	9,54 Mo	10,7 Mo	20,2 Mo
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- development of another control strategy
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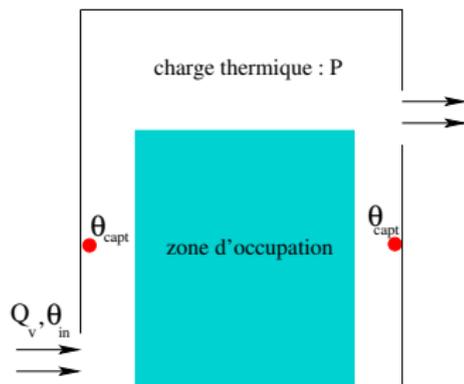
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## Goal

- Control the temperature  $\theta_{\text{zone}}$  in the occupied zone, which depends on the building thermal load  $P$  (solar gains, occupant gains. . .) in the room, by modifying the injected air flow rate  $Q_v$

## Principle of actual controllers

- Temperature measurement with sensors located usually close to the walls
- While the temperature measured by the sensors,  $\theta_{\text{sensor}}$ , is different from the desired temperature  $\theta_{\text{target}}$ , the injected air flow rate  $Q_v$  is modified
- but, the temperature  $\theta_{\text{zone}}$  in the occupied zone is **unknown** and **different**

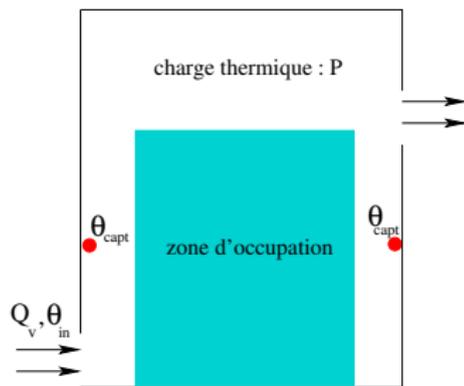


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## Principle of actual controllers

- Temperature measurement with sensors located usually close to the walls
- While the temperature measured by the sensors,  $\theta_{\text{sensor}}$ , is different from the desired temperature  $\theta_{\text{target}}$ , the injected air flow rate  $Q_v$  is modified
- but, the temperature  $\theta_{\text{zone}}$  in the occupied zone is **unknown** and **different**



## Idea

- Obtain the temperature (and even the velocity) in the occupied zone with POD
- Add two more steps in the controller program

## OFFLINE PROCEDURE

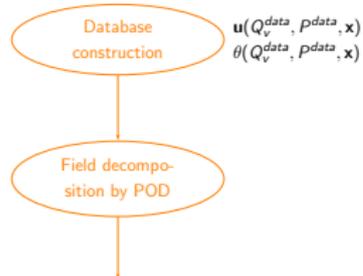


- The database is built :
  - ✓ with flow simulations obtained for several  $Q_v^{data}$  and several thermal loads  $P^{data}$

## OFFLINE PROCEDURE

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$$\theta(Q_v^{data}, P^{data}, \mathbf{x}) = \sum_{i=1}^{N_\theta} a_i^\theta(Q_v^{data}, P^{data}) \Phi_i^\theta(\mathbf{x})$$



## OFFLINE PROCEDURE

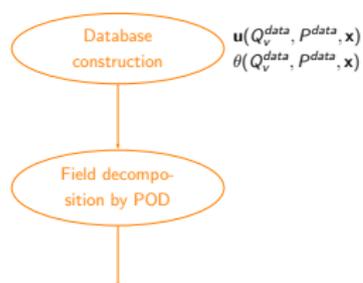
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⇒ Computationally expensive step, but it is done *before* the control loop

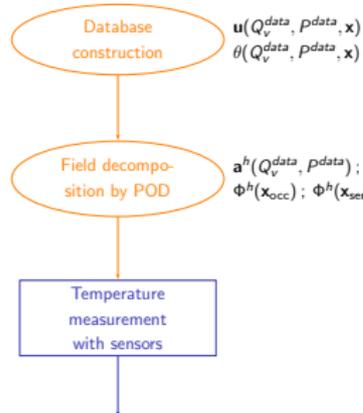
⇒ The following variables only are embedded in the sensor :

- $\mathbf{a}^u(Q_v^{data}, P^{data})$  ;  $\Phi^u(\mathbf{x}_{occ})$  ;  $\Phi^u(\mathbf{x}_{sensor})$
- $\mathbf{a}^\theta(Q_v^{data}, P^{data})$  ;  $\Phi^\theta(\mathbf{x}_{occ})$  ;  $\Phi^\theta(\mathbf{x}_{sensor})$



## ONLINE PROCEDURE

- Temperature measurement with the sensors (close to the walls) :  $\theta_{\text{sensor}}()$



## ONLINE PROCEDURE

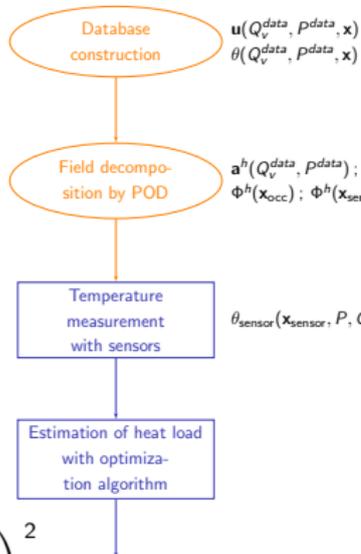
- Temperature measurement with the sensors (close to the walls) :  $\theta_{\text{sensor}}()$
- The heat load  $P$  is estimated by solving the optimization problem :

$$\min_P \mathcal{J}(P, Q_v, \mathbf{x}_{\text{sensor}})$$

where the cost functional  $\mathcal{J}$  is :

$$\mathcal{J} = \frac{1}{2} \sum_{j=1}^M \left( \theta_{\text{sensor}}(\mathbf{x}_{\text{sensor},j}) - \underbrace{\sum_{i=1}^{N_\theta} a_i^\theta(Q_v, P) \Phi_i^\theta(\mathbf{x}_{\text{sensor},j})}_{=\theta^{POD}(Q_v, P, \mathbf{x}_{\text{sensor},j})} \right)^2$$

M : number of sensors



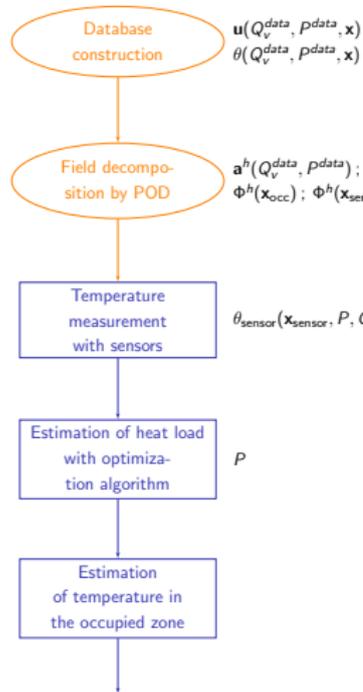
## ONLINE PROCEDURE

- Temperature assessment in the control zone  $\theta_{\text{zone}}$  :

$$\theta_{\text{zone}}(\mathbf{x}_{\text{occ}}) = \sum_{i=1}^{N_{\theta}} a_i^{\theta}(Q_V, P) \Phi_i^{\theta}(\mathbf{x}_{\text{occ}})$$

Remark : The velocity in the control zone  $\mathbf{u}_{\text{occ}}$  can be calculated :

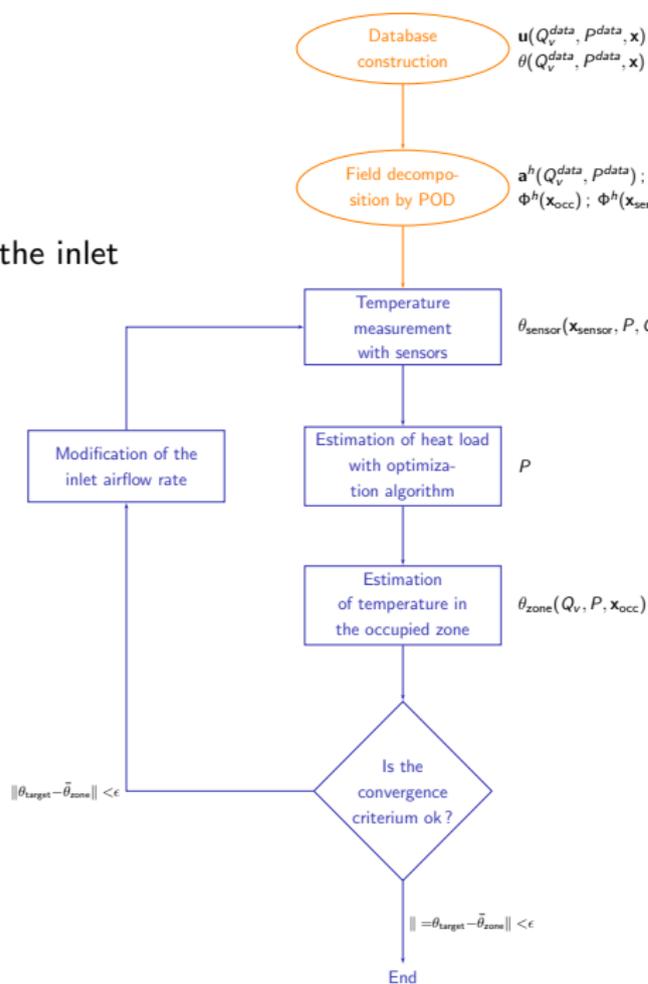
$$\mathbf{u}_{\text{occ}}(\mathbf{x}_{\text{occ}}) = \sum_{i=1}^{N_{\theta}} a_i^u(Q_V, P) \Phi_i^u(\mathbf{x}_{\text{occ}})$$



## ONLINE PROCEDURE

- Convergence criterium :

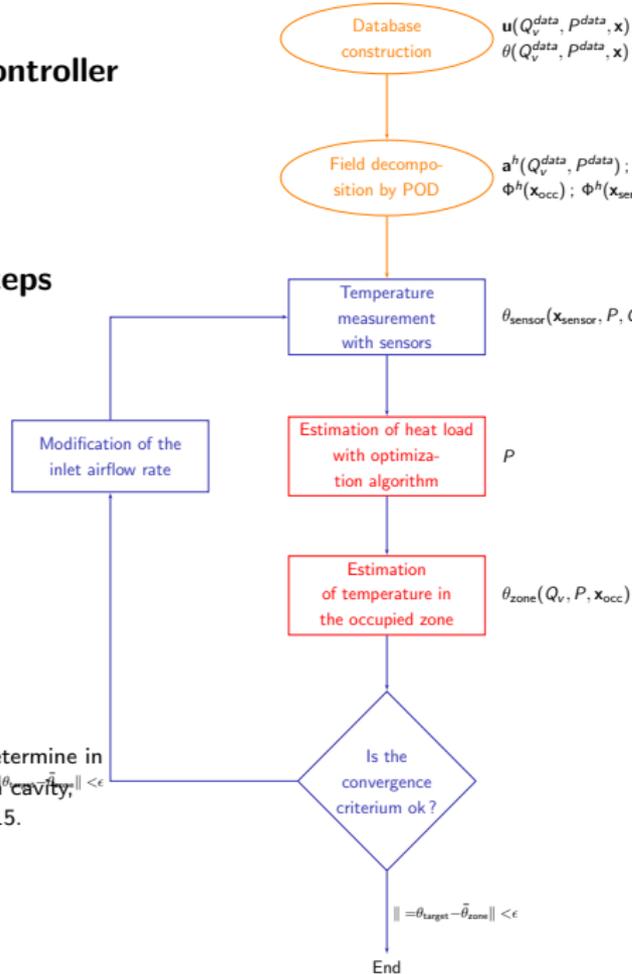
While  $\|\theta_{\text{target}} - \bar{\theta}_{\text{zone}}\| < \epsilon$ , the inlet  
airflow rate  $Q_v$  is modified



## Two steps are added in the controller program



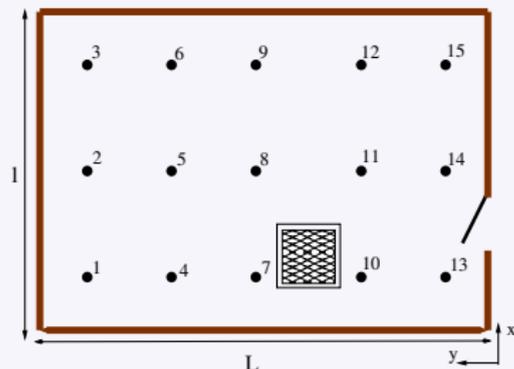
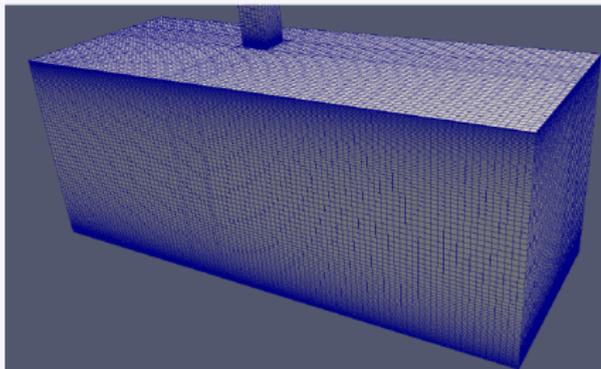
## Validation of these two steps



Tallet, Allery, Allard, POD approach to determine in real-time the temperature distribution in a cavity, *Building and Environment*, vol. 93(2), 2015.

## 3D flow in an office

- Geometry ( $l=2.7\text{m}$ ,  $H=2.85\text{m}$ ,  $L=7.2\text{m}$ )

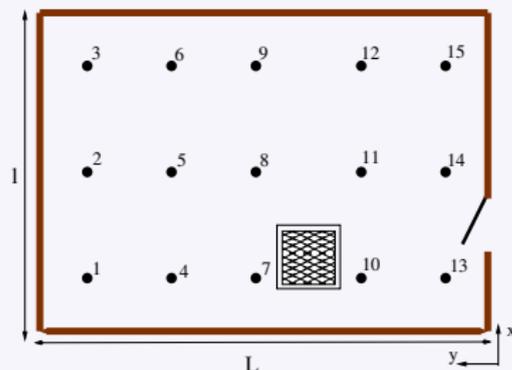
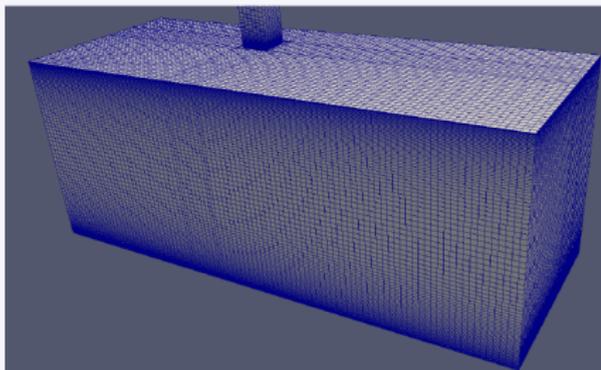


- Hypotheses :

- ▶ incompressible and non isothermal flow
- ▶ Boussinesq hypothesis
- ▶ uniform heat load in the domain

## 3D flow in an office

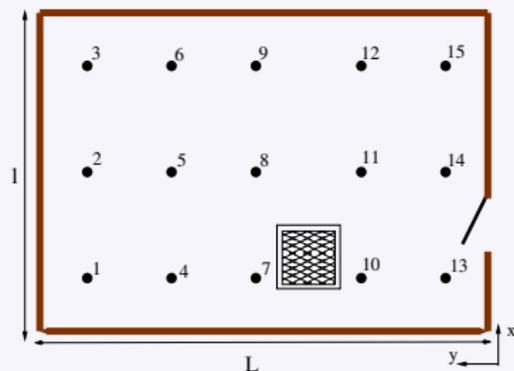
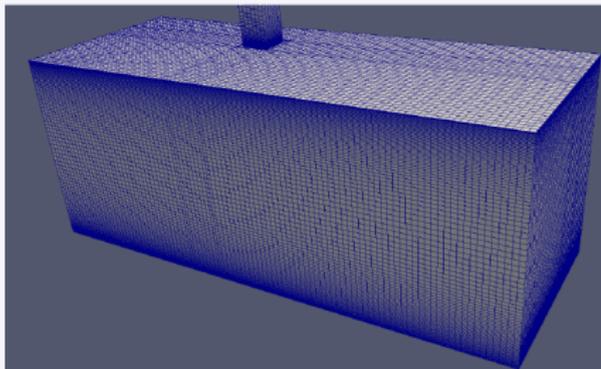
- Geometry ( $l=2.7\text{m}$ ,  $H=2.85\text{m}$ ,  $L=7.2\text{m}$ )



- Initial and boundary conditions :
  - ▶ walls : constant temperature  $26^{\circ}\text{C}$
  - ▶ outlet : homogeneous Neuman with zero heat flux
  - ▶ inlet : imposed temperature and velocity
  - ▶ initial temperature in the whole room  $26^{\circ}\text{C}$

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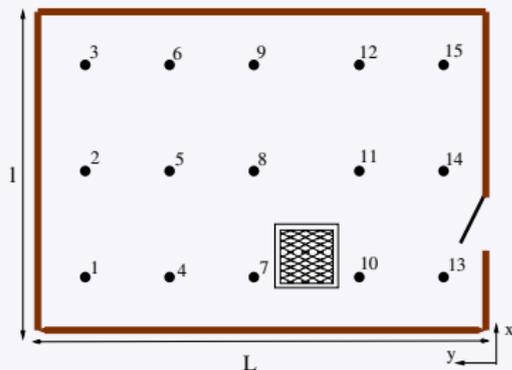
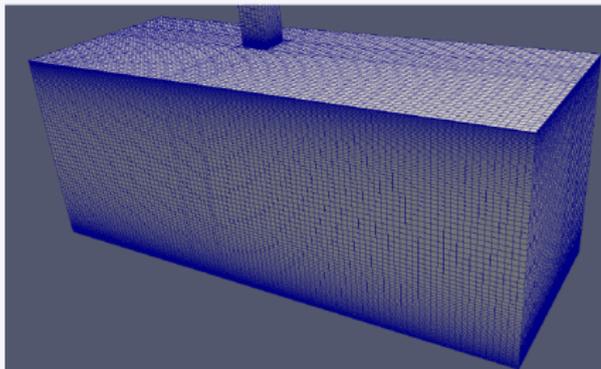


- Models and grids :

- ▶ Saturne, 500000 nodes
- ▶ Steady turbulence  $k - \epsilon$  model

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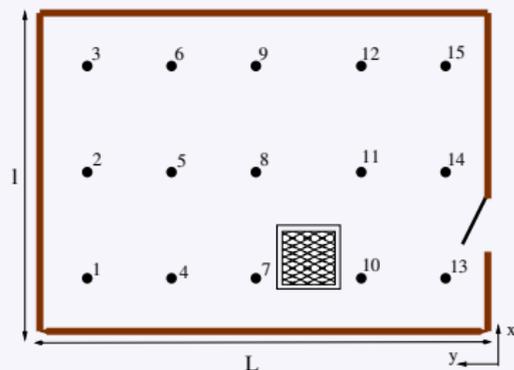
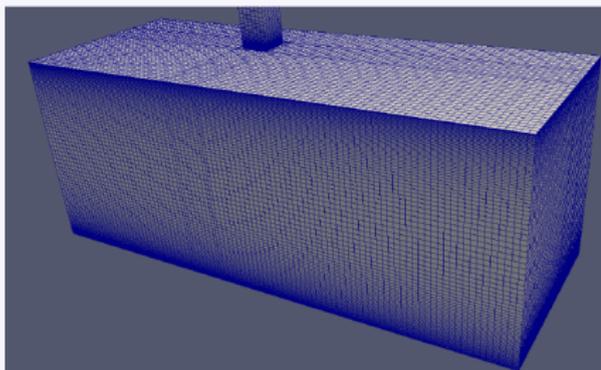


- position of the sensors :

- ▶ one close to the switch ( $x/l=0.23$ ,  $y/L=0.004$ ,  $z/h=0.49$ )
- ▶ one at the outlet

## 3D flow in an office

- Geometry ( $l=2.7\text{m}$ ,  $H=2.85\text{m}$ ,  $L=7.2\text{m}$ )

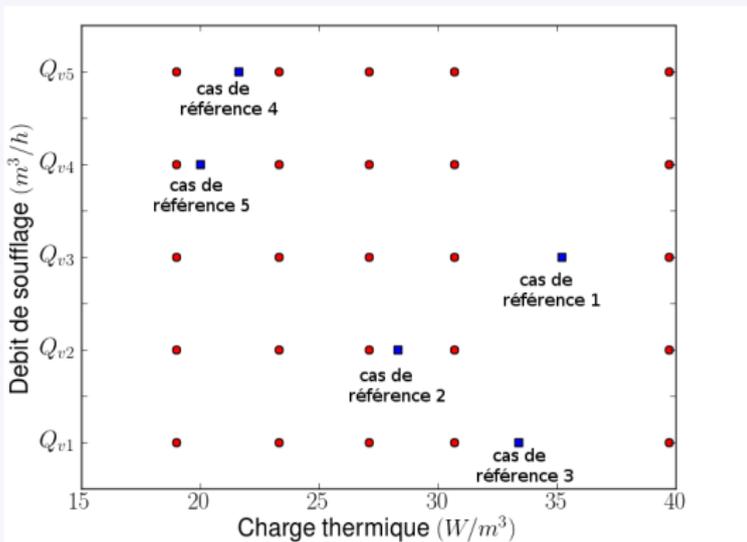


- occupied zone :

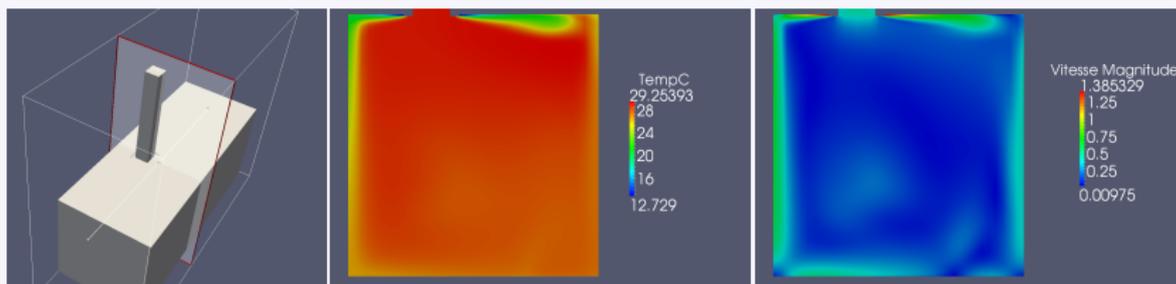
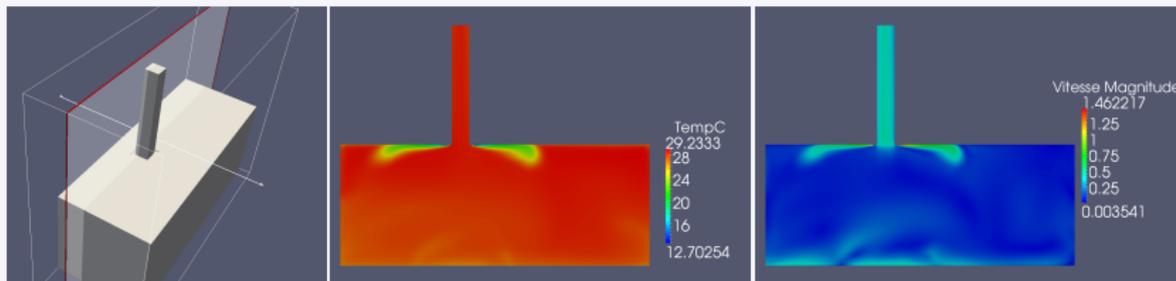
$$\left\{ \begin{array}{l} 0.37 \leq \frac{x_{occ}}{l} \leq 0.74 \\ 0.41 \leq \frac{y_{occ}}{L} \leq 0.55 \\ 0.53 \leq \frac{z_{occ}}{h} \leq 0.70 \end{array} \right.$$

## Construction of the database

- 5 airflow rates ( $215\text{m}^3/\text{h} \leq Q_v \leq 590\text{m}^3/\text{h}$ ), 5 thermal loads ( $19\text{W}/\text{m}^3 \leq P \leq 39.7\text{W}/\text{m}^3$ )



# Isovalues of temperature and velocity along the inlet plane (case 1 – cold air injection, $P = 39.7W/m^3$ )



## Results

- 2 POD modes are kept :

$$\mathbf{u}(Q_v, P, \mathbf{x}) = \sum_{i=1}^2 a_i^u(Q_v, P) \Phi_i^u(\mathbf{x}) \quad \text{et} \quad \theta(Q_v, P, \mathbf{x}) = \sum_{i=1}^2 a_i^\theta(Q_v, P) \Phi_i^\theta(\mathbf{x})$$

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- average temperature in the occupied zone

	reference $\bar{\theta}_{\text{zone}}$	computed $\bar{\theta}_{\text{zone}}$
Case 1 ( $Q_{v_3}, P = 35.2$ )	27.8	27.7
Case 2 ( $Q_{v_2}, P = 28.3$ )	27.5	27.2
Case 3 ( $Q_{v_1}, P = 33.4$ )	29	29.1
Case 4 ( $Q_{v_5}, P = 21.6$ )	25.2	25.2
Case 5 ( $Q_{v_4}, P = 20$ )	25.7	25.7

## Results

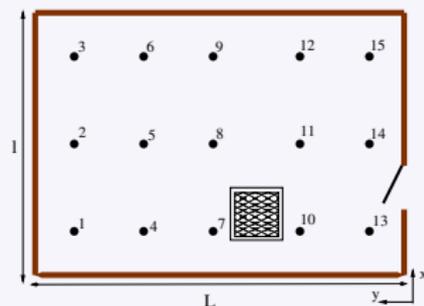
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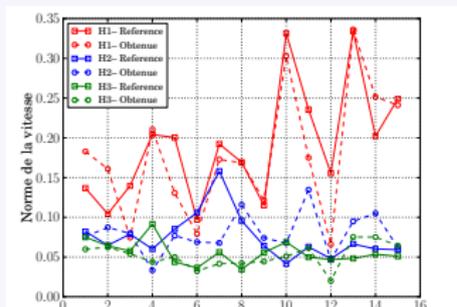
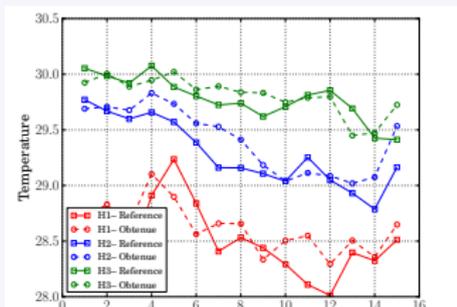
- average velocity in the occupied zone

	reference $\ \mathbf{u}\ _{\text{zone}}$	computed $\ \mathbf{u}\ _{\text{zone}}$
Case 1 ( $Q_{v_3}, P = 35.2$ )	0.44	0.39
Case 2 ( $Q_{v_2}, P = 28.3$ )	0.40	0.35
Case 3 ( $Q_{v_1}, P = 33.4$ )	0.38	0.34
Case 4 ( $Q_{v_5}, P = 21.6$ )	0.57	0.55
Case 5 ( $Q_{v_4}, P = 20$ )	0.45	0.43

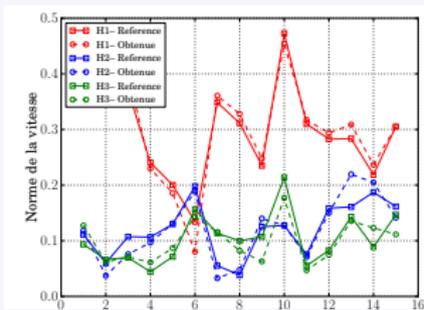
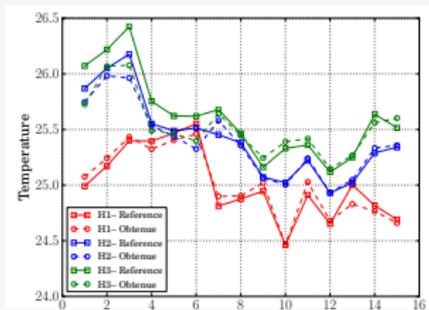
## Position of the reference points for 3 heights $H_i$



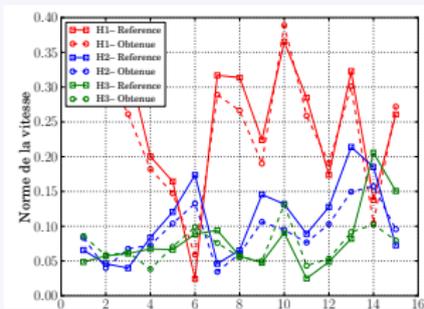
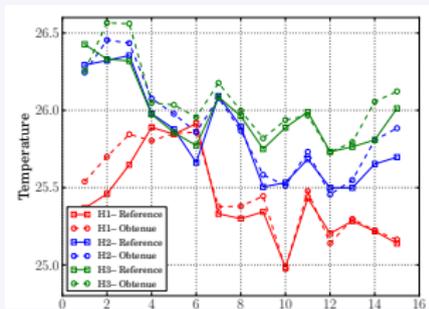
## Temperature and velocity at the reference points – Case 3



## Temperature and velocity at the reference points – Case 4



## Temperature and velocity at the reference points – Case 5



## Conclusions

- development of another control strategy that can be embedded in the actual controllers
  - ▶ requiring less storage and less computation but no "temporal dynamic" (mean fields)
  - ▶ prediction of temperature and velocity in the occupied zone with a good accuracy
  - ▶ algorithm very fast  $< 5s$

## Solution 1 : Multiple Parametrized Snapshots method (MPS)

- a POD basis is generated from snapshots associated to  $n$  different values of control parameters (see first part of the talk)

## Solution 2 : Interpolation on the Tangent Subspace of the Grassmann manifold (ITSGM)

- to construct a bunch of POD basis corresponding to different control parameters  $(\tilde{\gamma}_1, \dots, \tilde{\gamma}_n)$

• to interpolate them by using a basis valid for the complete parameter space by the Tangent algorithm

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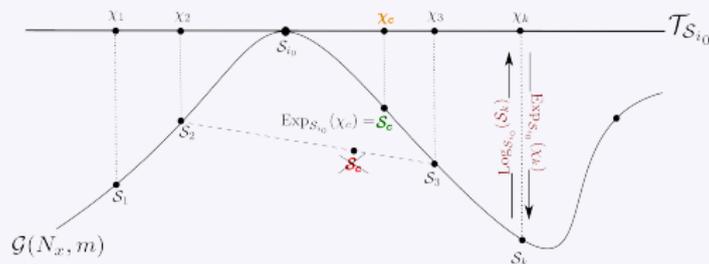
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## Principle of ITSGM

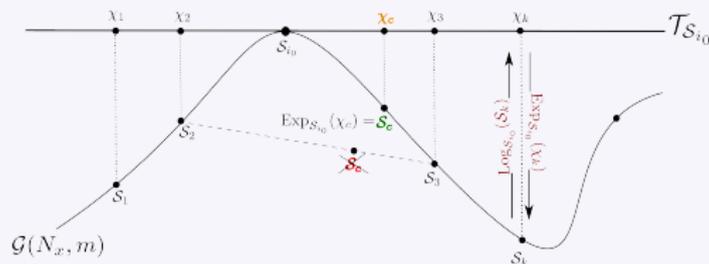
- let  $\psi \in \mathbb{R}^{N_x \times m}$  denote the full-rank column matrix, whose columns provide a POD basis of a dimension  $m$  of the subspace  $\mathcal{S}$  of  $\mathbb{R}^{N_x}$



- the set of all these  $m$  dimensional subspaces  $\mathcal{S}$  form what we call a Grassmann manifold  $\mathcal{G}(m, N_x)$ 
  - at each point  $\mathcal{S}$  of the Grassmann manifold  $\mathcal{G}$  there exists a tangent space  $\mathcal{T}_{\mathcal{S}}$  of the same dimension, with origin the point of tangency
  - the tangent space  $\mathcal{T}_{\mathcal{S}}$  is a vector space
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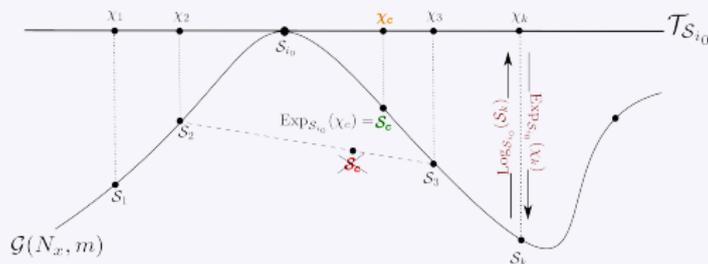
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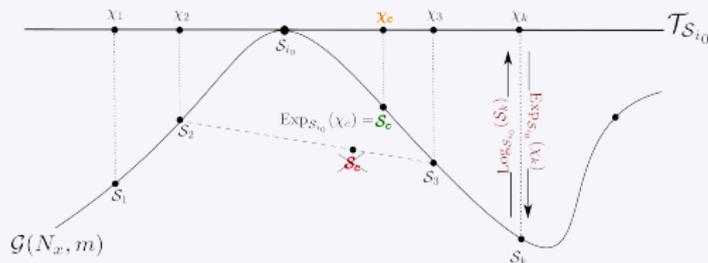
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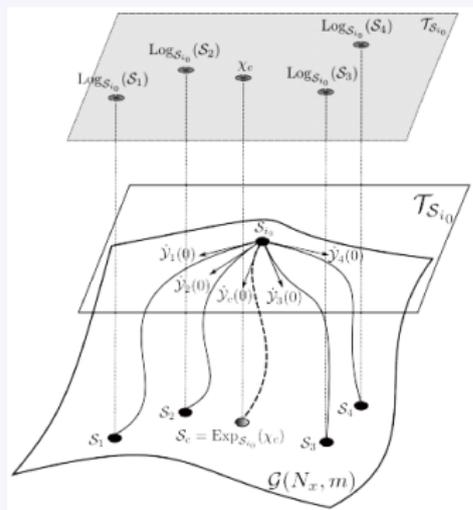


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## Practical algorithm of basis adaptation on the Grassmann manifold



Amsallem and Farhat, An Interpolation Method for Adapting Reduced-Order Models and Application to Aeroelasticity, *AIAA Journal*, 2008.

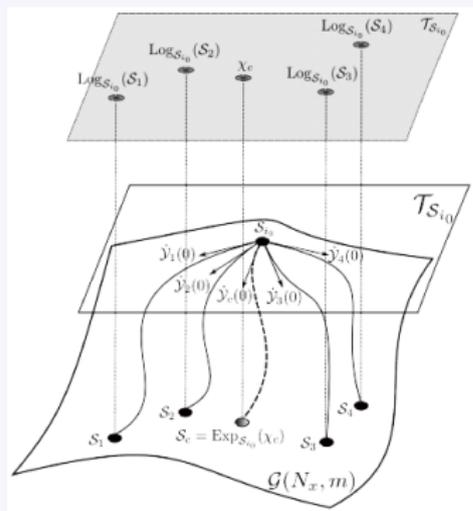


## Practical algorithm of basis adaptation on the Grassmann manifold



Amsallem and Farhat, An Interpolation Method for Adapting Reduced-Order Models and Application to Aeroelasticity, *AIAA Journal*, 2008.

1) choose a reference point  $S_{i_0}$  to be the origin point of the interp.



## Practical algorithm of basis adaptation on the Grassmann manifold

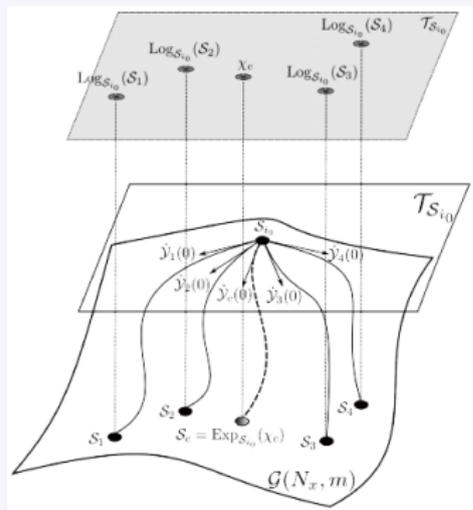


Amsallem and Farhat, An Interpolation Method for Adapting Reduced-Order Models and Application to Aeroelasticity, *AIAA Journal*, 2008.

2) map each  $\mathcal{S}_i$  to a matrix  $\Gamma_i$  representing a point  $\chi_i$  of  $\mathcal{T}_{\mathcal{S}_{i_0}}$  with logarithm application  $\text{Log}_{\mathcal{S}_{i_0}}$

$$(I - \psi_{i_0} \psi_{i_0}^T) \psi_i (\psi_{i_0}^T \psi_i)^{-1} = U_i \Sigma_i V_i^T$$

and  $\Gamma_i = U_i \tan^{-1}(\Sigma_i) V_i^T$

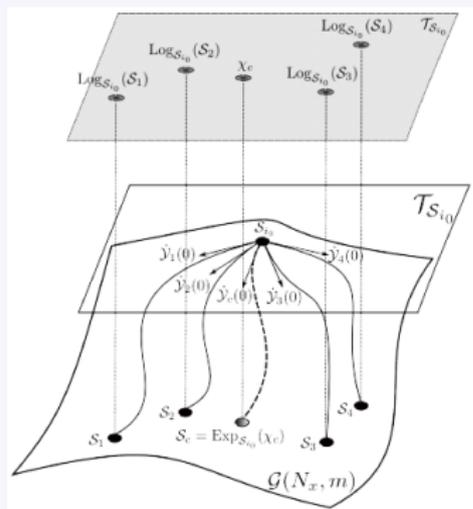


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Amsallem and Farhat, An Interpolation Method for Adapting Reduced-Order Models and Application to Aeroelasticity, *AIAA Journal*, 2008.

3) compute  $\Gamma_c$  associated to the control parameter  $\gamma_c$  by using usual interpolation method



## Practical algorithm of basis adaptation on the Grassmann manifold



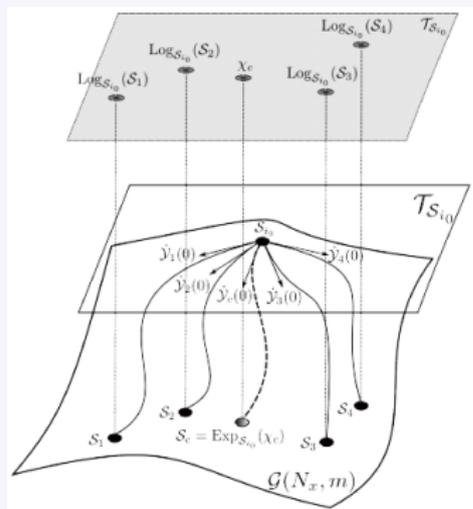
Amsallem and Farhat, An Interpolation Method for Adapting Reduced-Order Models and Application to Aeroelasticity, *AIAA Journal*, 2008.

4) map  $\Gamma_c$  to a subspace  $\mathcal{S}_c$  spanned by a matrix  $\psi_c$  with exponential application

$\text{Exp}_{\mathcal{S}_{i_0}}$  :

$$\Gamma_c = U_c \Sigma_c V_c^T$$

and  $\psi_c = \psi_{i_0} V_c \cos(\Sigma_c) + U_c \sin(\Sigma_c)$



## Solution 3 : Proper Generalized Decomposition (PGD)

- the PGD is used here like a space-time enrichment approach
- consider a velocity and a pressure POD bases associated to a value  $\gamma_1$  of the control parameter :

$$\mathbf{u}_{\gamma_1}(x, t) \simeq \sum_{j=1}^{m_u} a_j(t) \Phi_j^u(x) \quad \text{and} \quad p_{\gamma_1}(t, x) \simeq \sum_{j=1}^{m_p} b_j(t) \Phi_{p_j}(x)$$

➤ these approx. are not valid for another value  $\gamma_2$

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## Brief description of the Proper Generalized Decomposition (PGD)

- double Galerkin orthogonality

- ▶ if  $\{a, b\}$  are known and fixed, we search

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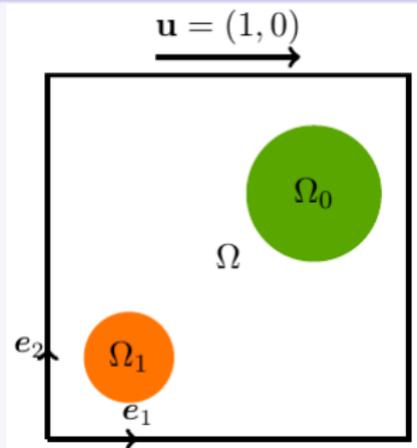
## Reduced optimal control with POD bases update

- a) initialization of the algorithm :  $k = 0$  et  $\gamma^{(k)} = \gamma_{init}$
- b) update the bases by using PGD or ITSGM
- c) update the spatial coeff. of the state and adjoint ROMs
- d) solving the state ROM  $\mathcal{M}(\mathbf{a}, \gamma^{(k)}) = \mathbf{0} \rightarrow \mathbf{a}$
- e) solving the adjoint ROM  $\mathcal{P}(\mathbf{a}, \boldsymbol{\xi}, \gamma^{(k)}) = \mathbf{0} \rightarrow \boldsymbol{\beta}$ .
- f) assessment of the descent direction  $\rightarrow \mathbf{d}^{(k)} = -\nabla_{\gamma} J_{red}(\mathbf{a}, \boldsymbol{\beta}, \gamma^{(k)})$
- g) assessment of the step  $\omega^{(k)}$  in the descent direction  $\mathbf{d}^{(k)}$ 

(linear search algorithm of Armijo)
- f) update the control parameter  $\rightarrow \gamma^{(k+1)} = \gamma^{(k)} + \omega^{(k)} \mathbf{d}^{(k)}$
- h) convergence criterion : if  $\|J_{red}(\mathbf{a}, \gamma^{(k+1)})\| > \varepsilon$ , return to step b)

## Application : 2D lid driven submitted to body forces

- two external forces  $\mathbf{f}_0$  and  $\mathbf{f}_1$  :  
 $\mathbf{f}_0 = \gamma^0 \exp(-t) \chi_{\Omega_0} (\mathbf{e}_1 + \mathbf{e}_2)$   
and  $\mathbf{f}_1 = \gamma^1 \chi_{\Omega_1} (\mathbf{e}_1 + \mathbf{e}_2)$
- temporal domain  $\mathcal{I} = [0, 1]$
- at  $t=0$ , the fluid is at rest
- finite element code : Fenics
- Taylor Hood  $\mathbb{P}_2/\mathbb{P}_1$ , non uniform grid, 17728 triangles



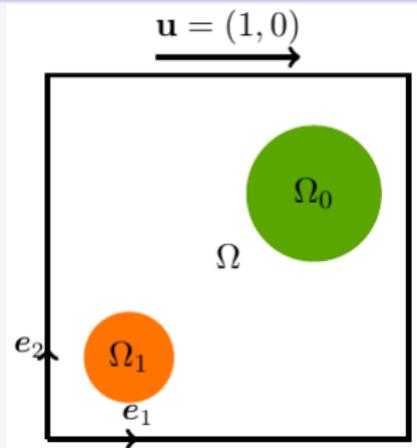
Oulghelou, Allery : A fast and robust sub-optimal control approach using reduced order model adaptation techniques, *Applied Mathematics and Computation*, vol 333, 2018.

## Objective

- $\gamma = (\gamma^0, \gamma^1)$  are the control parameters
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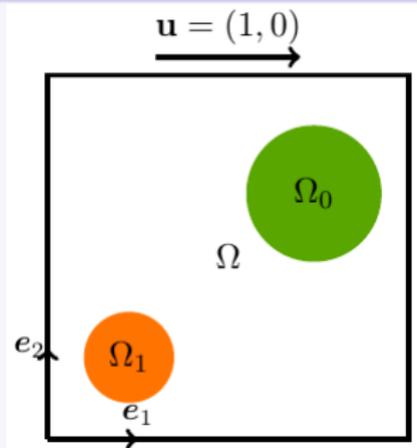
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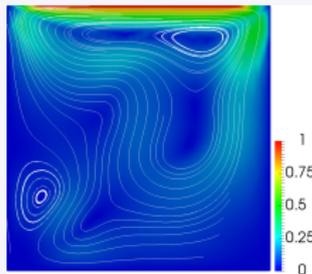


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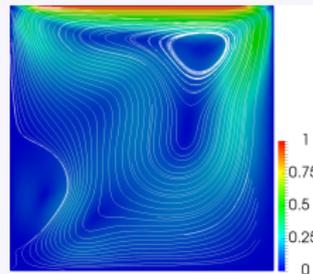
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## Streamlines for the initial flow $\gamma_{init} = (\gamma_{init}^0, \gamma_{init}^1) = (1, -1)$

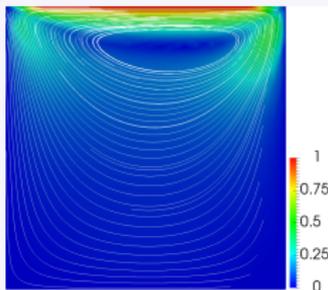


a) at  $t=T/2$

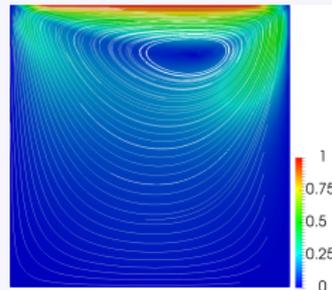


b) at  $t=T$

## Streamlines for the target flow $\hat{\gamma} = (\hat{\gamma}^0, \hat{\gamma}^1) = (0, 0)$



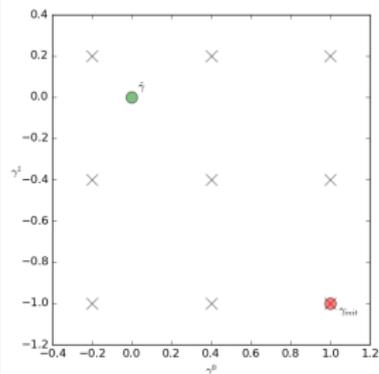
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## Construction of the POD bases

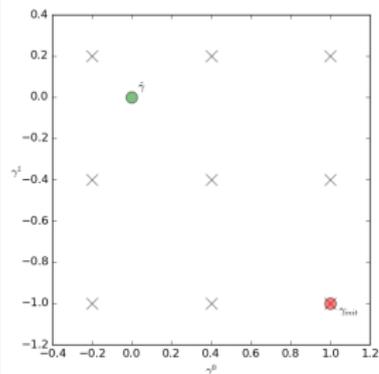
- parameters  $\gamma = (\gamma^0, \gamma^1)$  used to build the sampling POD bases



- ▶ this sampling is not necessary for the PGD approach
- POD basis is built with 400 snap. evenly distributed on the time
- the dimension of each POD basis is 10
- for MPS method, all snapshots associated at all operating points are used to generate the POD basis

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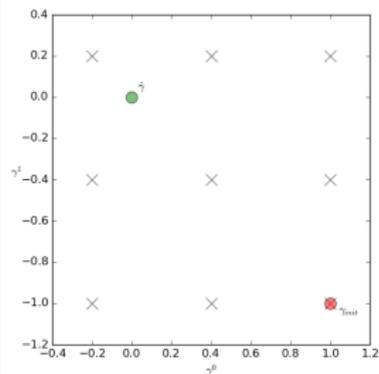
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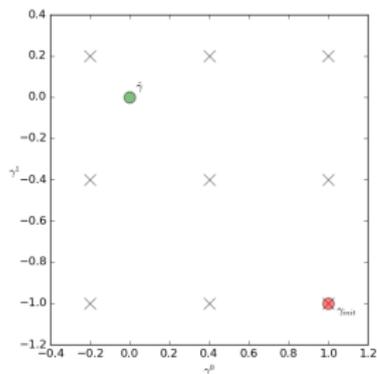
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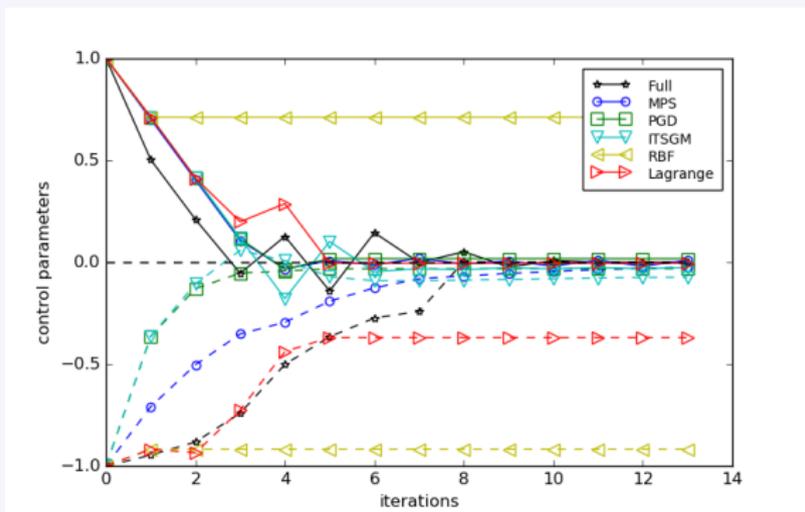
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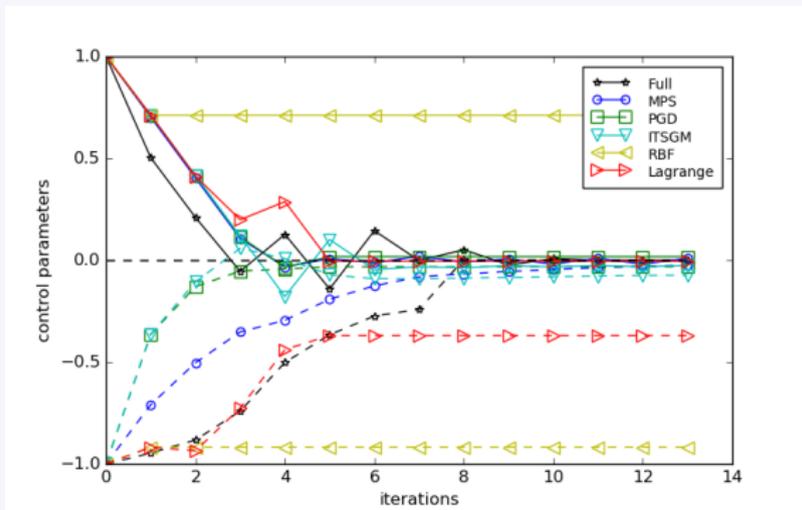
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## CPU time and % error at the end of the control algorithm

Method	$\mathcal{R}_{online}$	$\mathcal{R}_{offline+online}$	% error
full	1	1	0.01%
MPS	2972	20.3	3.69%
PGD	146	146	3.81%
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- simulations in a few minutes with proper accuracy
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- consider the set of parameterized snapshot matrices :

$$\mathbf{S}(\theta_i) = \{y_1(\theta_i), \dots, y_{N_s}(\theta_i)\} \in \mathbb{R}^{N_x \times N_s} \quad \text{where } i = 1, \dots, N_p$$

▶  $y_j(\theta_i)$  is the sol. at time  $t_j$  of a parameterized physical pb

- goal : *approximate  $\mathbf{S}(\tilde{\theta})$  for  $\tilde{\theta} \neq \theta_i$ , without resorting to the full model*
- standard polynomial interpolation methods
- proposed approach : Bi-CITSGM (Hyper Bi-Calibrated Interpolation on the Tangent Space of the Grassmann Manifold)
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- ▶ effective for pbs with a linear behaviour
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- ▶ effective for pbs with a linear behaviour
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- **proposed approach** : Bi-CITSGM (Hyper Bi-Calibrated Interpolation on the Tangent

Space of the Grassmann Manifold)

- ▶ ITSGM interpolation
- ▶ solving a constrained optimization problem

## Bi-CITSGM Method

- consider the set of parameterized snapshot matrices :

$$\mathbf{S}(\theta_i) = \{y_1(\theta_i), \dots, y_{N_s}(\theta_i)\} \in \mathbb{R}^{N_x \times N_s} \quad \text{where } i = 1, \dots, N_p$$

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- the expression  $\mathbf{S}(\tilde{\theta}) \stackrel{!}{=} \tilde{\mathbf{U}}\tilde{\Sigma}\tilde{\mathbf{V}}^T$  is **incorrect!!**
  - ▶ the modes of  $\tilde{\mathbf{U}}$  and  $\tilde{\mathbf{V}}$  do not follow the order of the singular values  $\tilde{\Sigma}$
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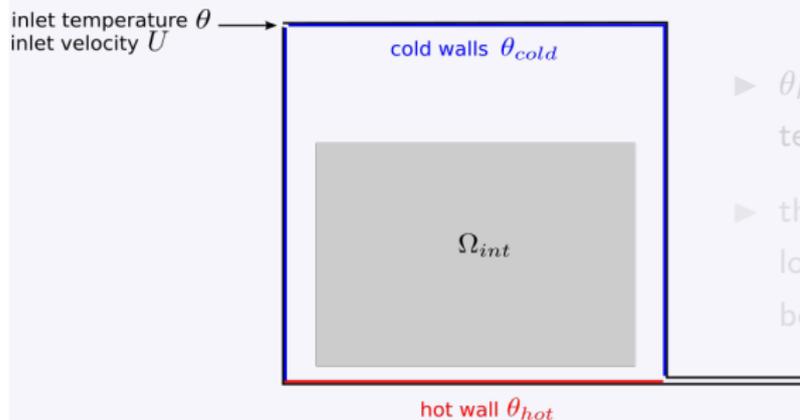
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M. Oulghelou and C. Allery, Non-intrusive method for parametric model order reduction using a bi-calibrated interpolation on the Grassmann manifold, *Journal of Computational Physics*, vol 426, 2021.

## Problem settings

- this study focuses on the inverse problem of temperature distribution in a 2D ventilated cavity



- ▶  $\theta_{hot}$  is higher than the temperature  $\theta_{cold}$
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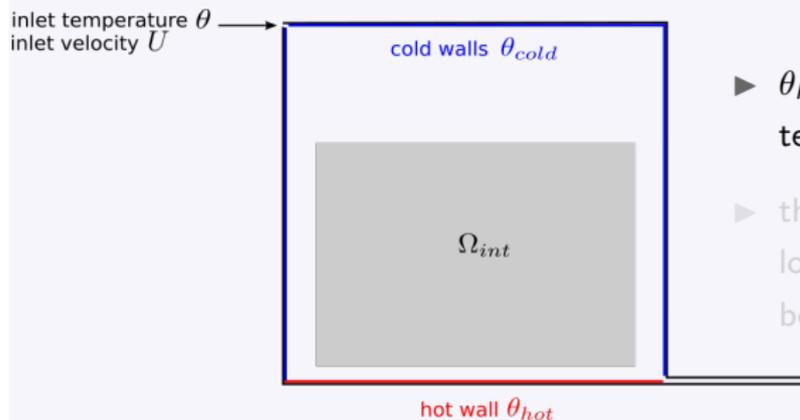


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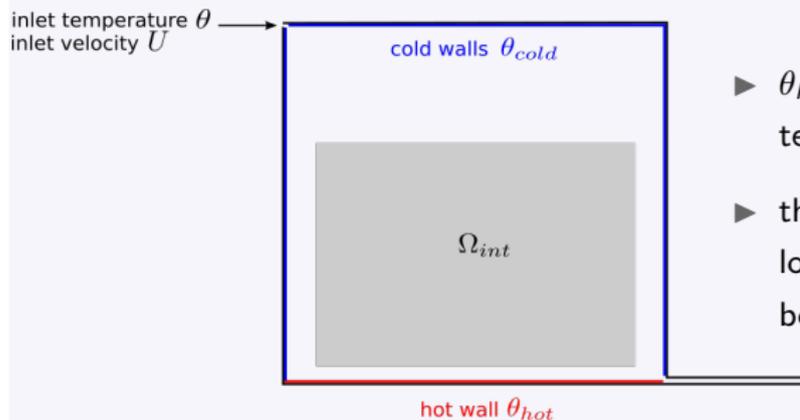


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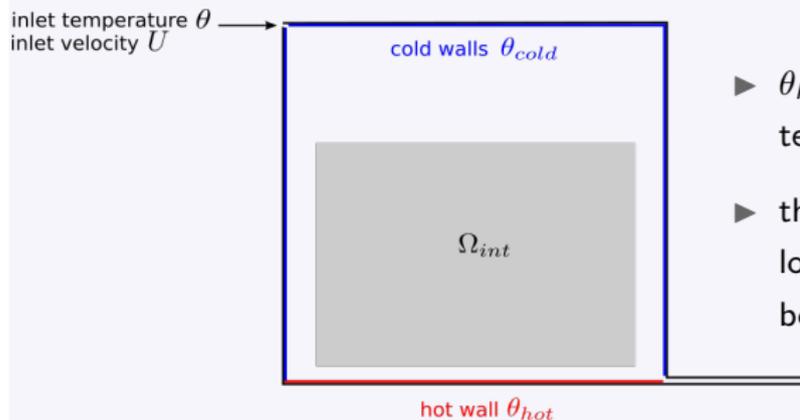


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$$\begin{cases} \nabla \cdot \mathbf{v} = 0 \\ \rho \partial_t \mathbf{v} + \rho \mathbf{v} \cdot \nabla \mathbf{v} = -\nabla p + \mu \Delta \mathbf{v} + \rho \mathbf{g} \beta (\Theta - \Theta_0) \mathbf{e}_y + \nabla \sigma_t \\ \rho c_p \partial_t \Theta + \rho c_p \mathbf{v} \cdot \nabla \Theta = \lambda \Delta \Theta + \nabla \mathbf{q}_t \end{cases}$$

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- the aim is to solve the constrained nonlinear optimization problem

$$\min_{\theta} \mathcal{J}(\Theta) = \int_0^{t_f} \int_{\Omega_{int}} (\Theta - \hat{\Theta})^2 dx dt \quad \text{subject to} \quad \mathcal{N}(\Theta, \mathbf{v}, \theta) = 0$$

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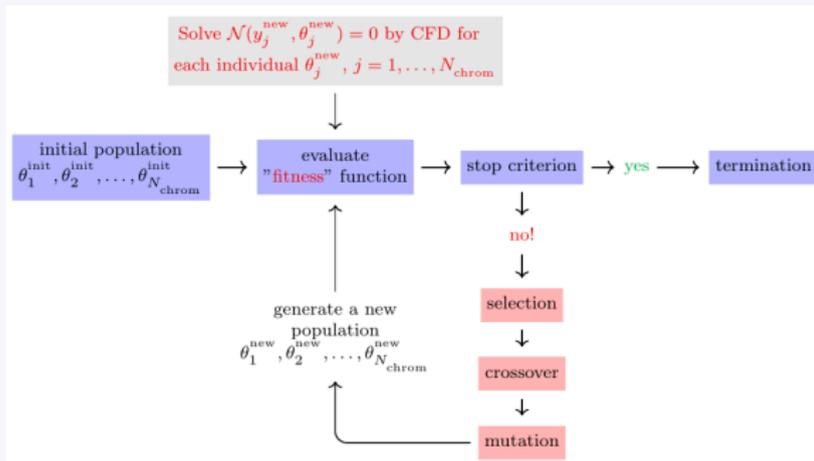
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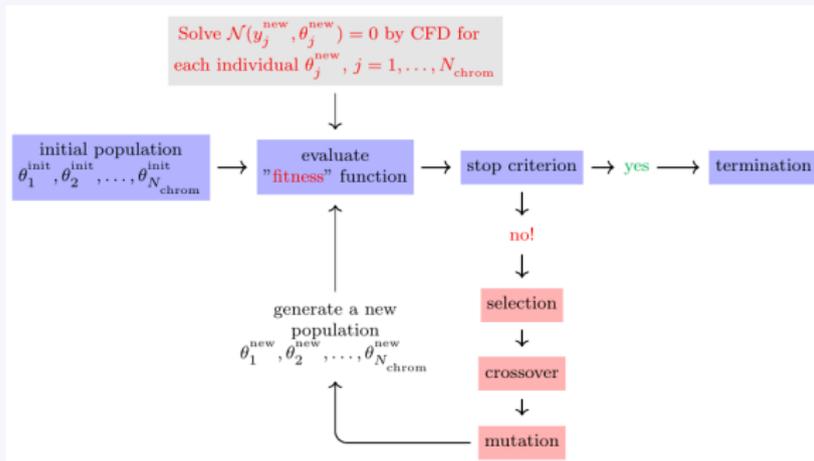
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## Standard GA approach



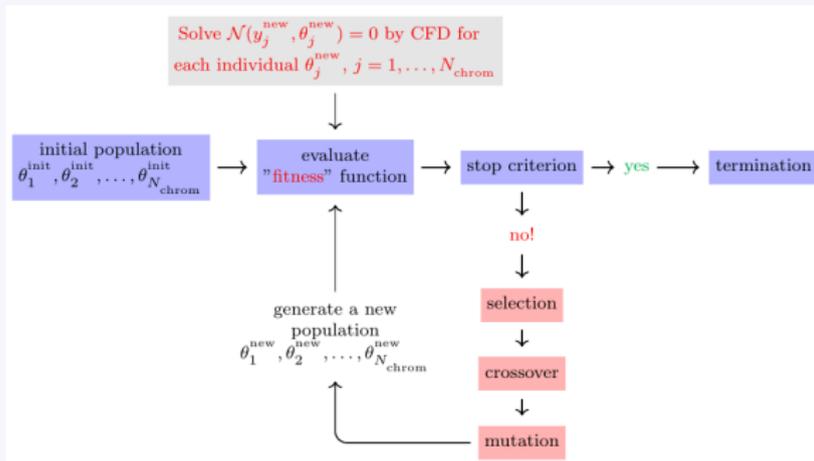
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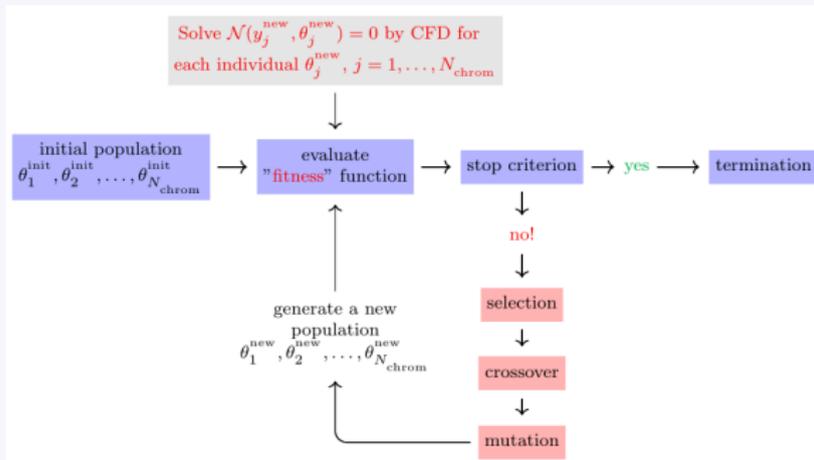
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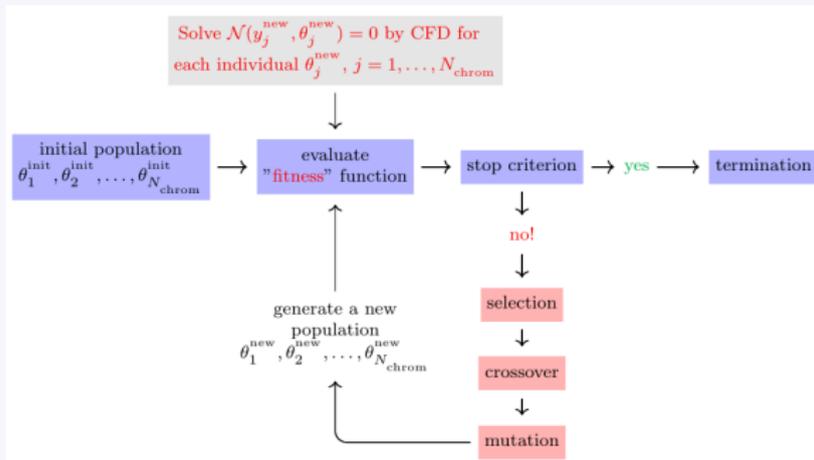
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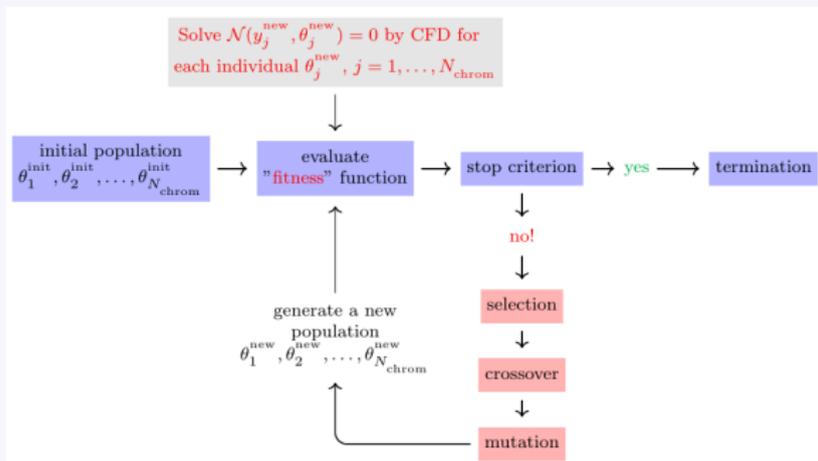
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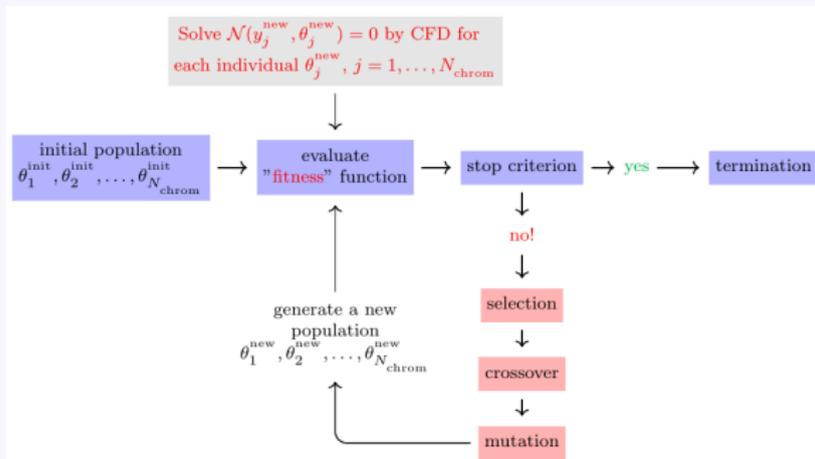
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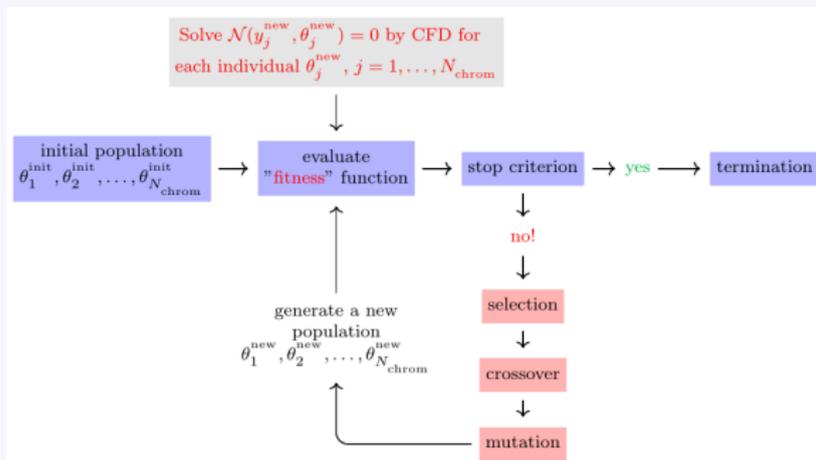
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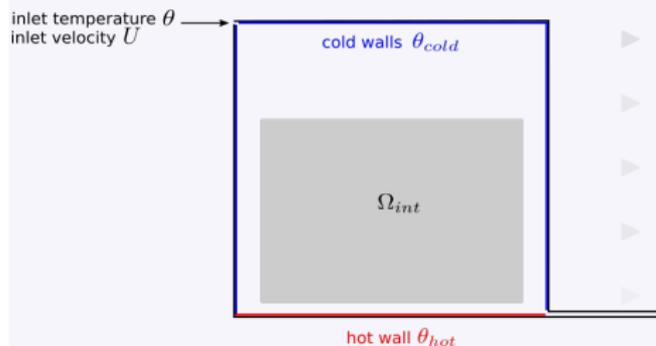
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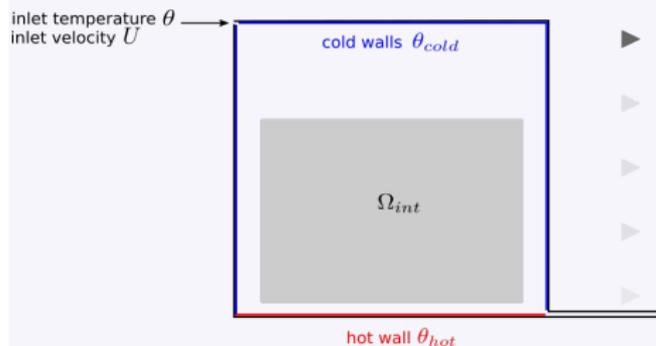
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- ▶ RNG k-epsilon model
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- ▶  $U_{inlet} = 0.57 \text{ m/s}$  and  $v_{inlet} = 0 \text{ m/s}$
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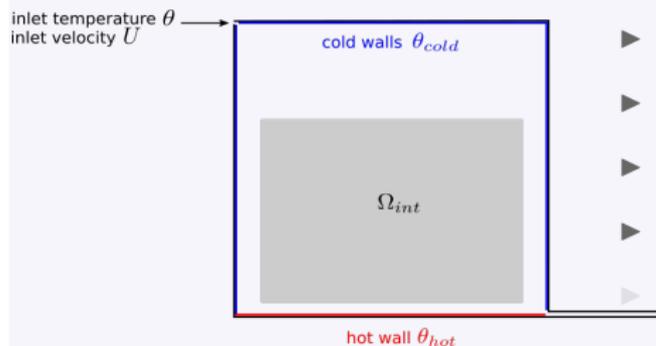
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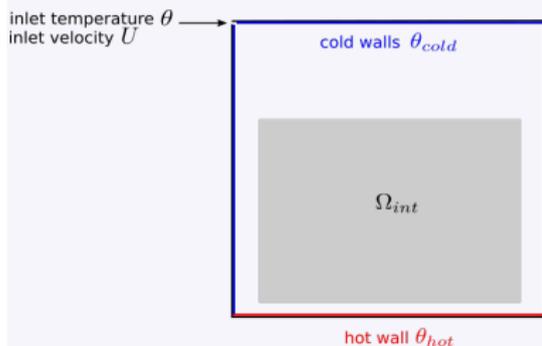
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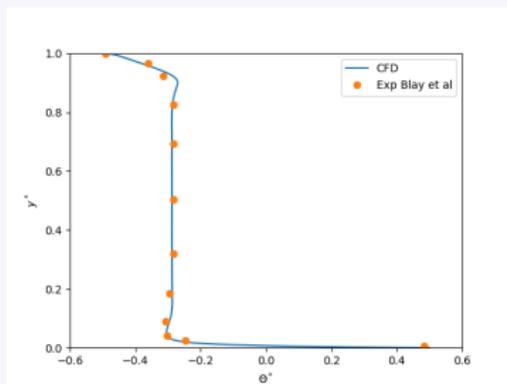
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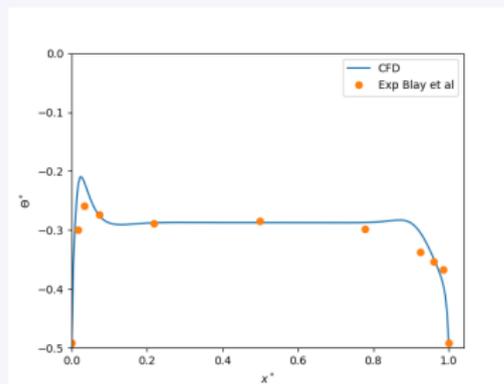
- ▶ RNG k-epsilon model
- ▶ cavity dimensions  $1.04 \times 1.04 \text{ m}$
- ▶  $U_{inlet} = 0.57 \text{ m/s}$  and  $v_{inlet} = 0 \text{ m/s}$
- ▶  $\Theta_{hot} = 35.5^\circ \text{C}$  on the floor
- ▶  $\Theta_{cold} = 15^\circ \text{C}$  on the other walls
- ▶  $Ra = 2.13 \times 10^9$  and  $Re = 654$
- ▶ inlet temp. varying between  $2$  and  $26^\circ \text{C}$

## Validation of the high fidelity computations

- CFD computations were validated with the experiments of Blay et al. (for an inlet temperature equal to  $15^{\circ}\text{C}$ )



$\Theta^*$  at  $x^* = 0.5$



$\Theta^*$  at  $y^* = 0.5$

- a satisfactory agreement can be noticed

## Dynamics of the mixed convection flow

- three instants for  $\theta_{inlet} = 2^\circ C$



- ▶ the air falls along the left wall, warmed by the hot floor, and finally lifted by natural convection

- three instants for  $\theta_{inlet} = 26^\circ C$

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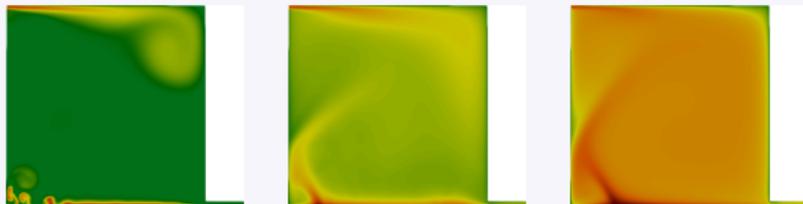
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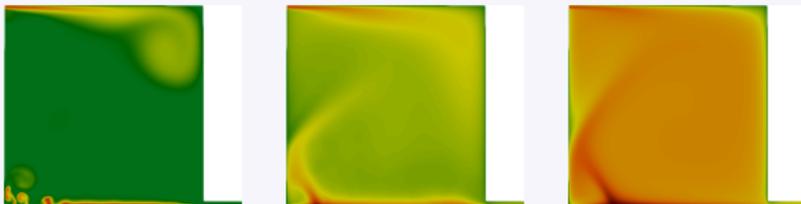
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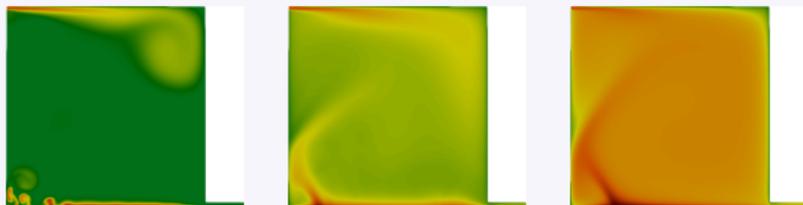
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## Application of the surrogate GA to the mixed-convection flow pb

- the training injection temp. values belong to the set

$$I_{tr} = \{2, 5, \dots, 23, 26^\circ C\}$$

- given a temp. distribution  $\hat{\Theta}$ , the aim is to apply the surrogate GA to approximate the associated optimal inlet temp.

the space of search by surrogate GA is set to

$$K = \{(\theta, ne_i, ne_s, m) \in \mathbb{R}_+ \times \mathbb{N}^3, 2 \leq \theta \leq 26^\circ C; 2 \leq ne_i, ne_s \leq 13; 4 \leq m \leq q\}$$

a population of 20 chromosomes formed by 4 genes randomly generated in  $K$  is used as initial guess to run the surrogate GA.

different tests are performed for temp. distributions belonging to the whole range in the set.

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- ▶ a population of 20 chromosomes formed by 4 genes randomly generated in  $K$  is used as initial guess to run the surrogate GA.
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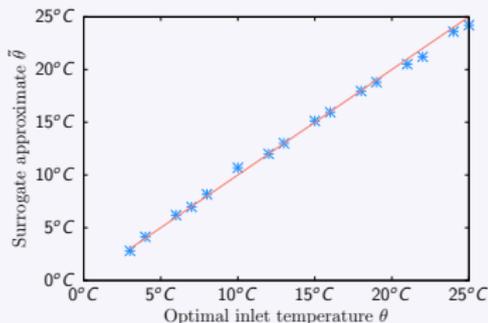
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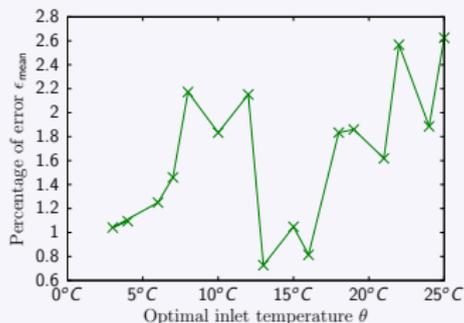
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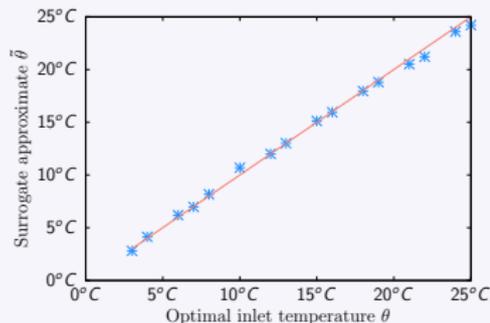
Comparison of inlet temperatures obtained by the GA



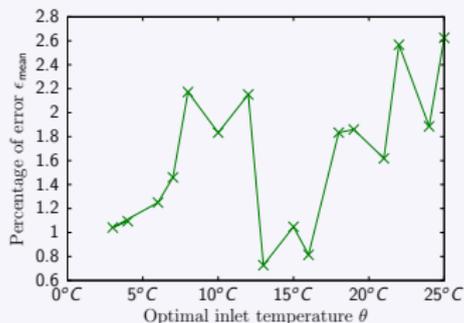
Percentage of error with respect to the CFD

- ▶ the surrogate GA succeeds to recover a good approximation  $\tilde{\theta}$  of the sought inlet temperature  $\hat{\theta}$
- ▶ good accuracy of the reconstructed temperature distribution of less than 3% of error
- ▶ for all the optimization tests, the optimal values of  $q$ ,  $ne_x$  and  $ne_t$  are within the ranges  $q \geq 7$ ,  $ne_x \leq 4$  and  $ne_t \leq 6$

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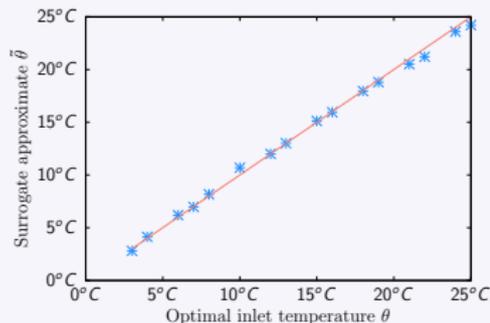
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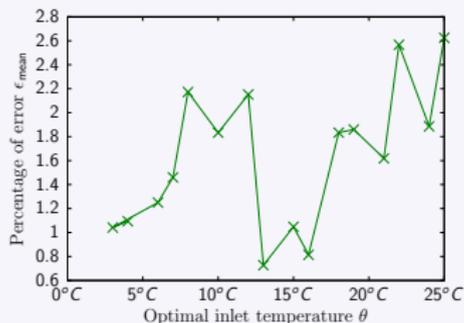
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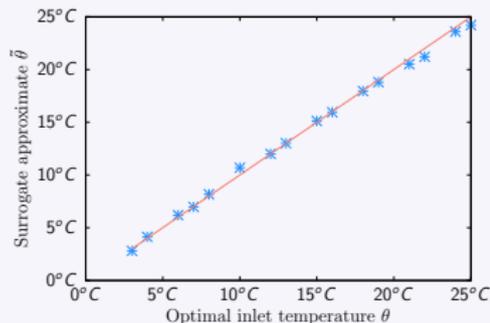
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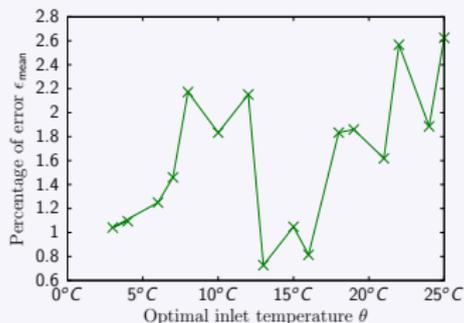
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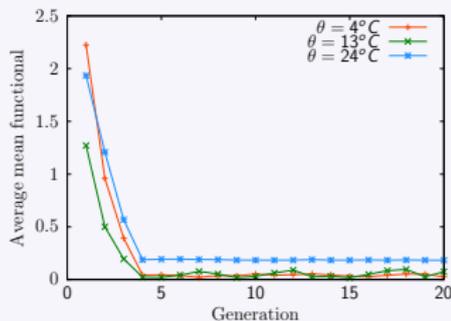


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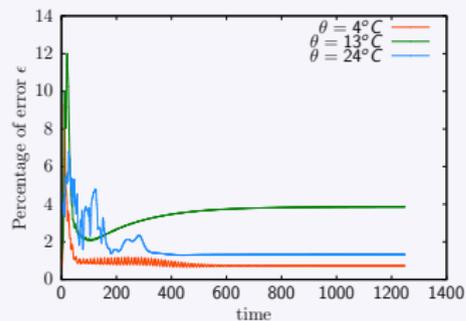
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## Application of the surrogate GA to the mixed-convection flow pb

- the surrogate GA predictions for three  $\theta_{inlet} = 4, 13, 24^\circ\text{C}$  (that correspond to three different flow regimes)



Mean averaged functional by the surrogate GA

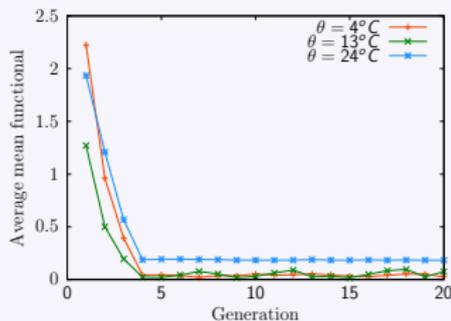


Percentage of errors over the time

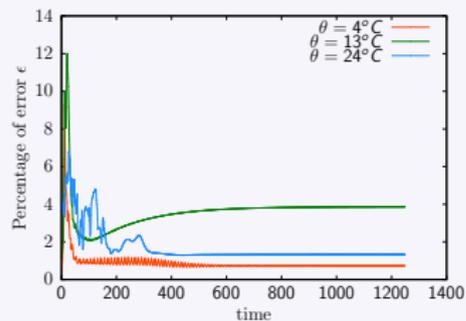
- ▶ the cost functional has a good decay behavior
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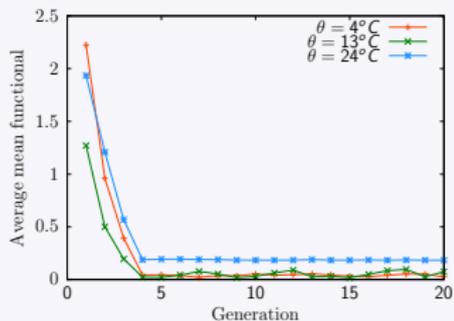


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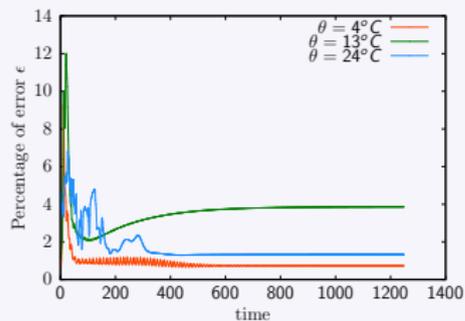
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## Comparison of the high fidelity and surrogate GA for $\hat{\theta} = 4^\circ\text{C}$

High fidelity temperature



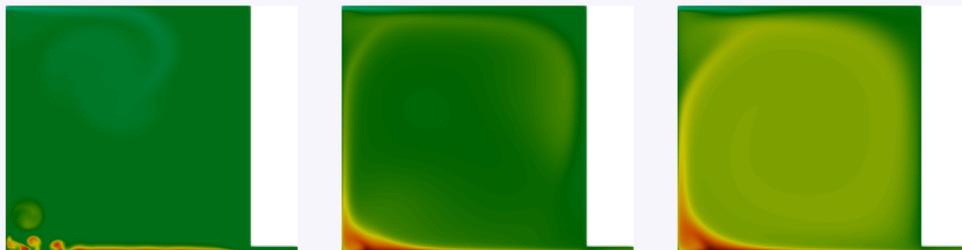
Approximate temperature by the surrogate GA



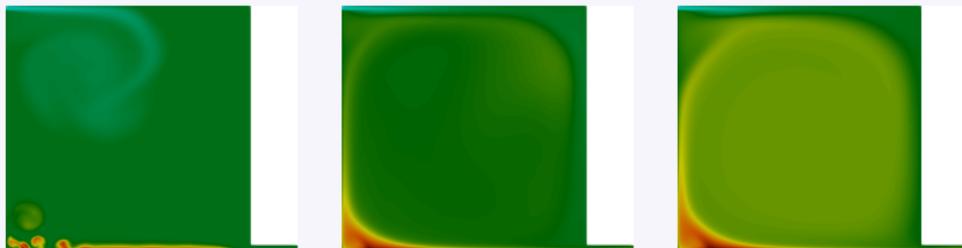
- ▶ the surrogate GA succeeded to track the provided target temp. and catch most of the dynamics features

## Comparison of the high fidelity and surrogate GA for $\hat{\theta} = 13^\circ\text{C}$

High fidelity temperature



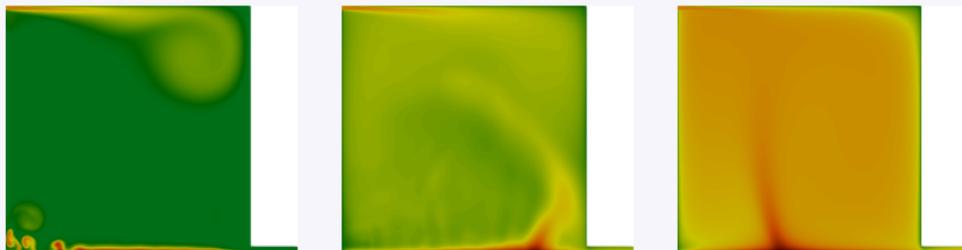
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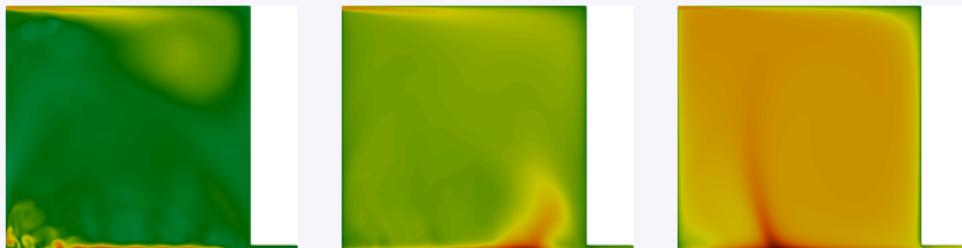
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## Comparison of the high fidelity and surrogate GA for $\hat{\theta} = 24^\circ\text{C}$

High fidelity temperature



Approximate temperature by the surrogate GA



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